

TSX & TSXV

FAQ

by U.S. Retail Investors about Investing in TSX and TSX Venture Exchange-Listed Stocks



[us.tsx.com](https://www.us.tsx.com)

©2024 TSX Inc. All rights reserved. This FAQ is not, and should not be construed as an invitation, solicitation or recommendation to purchase securities listed on Toronto Stock Exchange or TSX Venture Exchange. TMX Group Limited and its affiliates do not endorse or recommend any securities listed on Toronto Stock Exchange or TSX Venture Exchange nor should any statement in this FAQ be construed as providing any trading, legal, accounting, tax, investment, business, financial or other advice, and you should not rely on it for such purposes. U.S. persons may not access the Canadian markets directly, and any questions about or interest in investing in the Canadian markets should be directed to a U.S. broker. TMX Group and its affiliates do not endorse or recommend any broker or dealers referenced in this FAQ. Please seek professional advice to evaluate specific securities. TMX, the TMX design, TMX Group, Toronto Stock Exchange, TSX, TSX Venture Exchange, TSXV, The Future is Yours to See., and Voir le futur. Réaliser l'avenir. are the trademarks of TSX Inc. All other trademarks herein are the property of their respective owners.

We created the following document, based on Frequently Asked Questions (FAQs) by U.S. retail investors to Toronto Stock Exchange and TSX Venture Exchange-listed issuers. At your discretion, you may download, edit and post this document to the Investor Relations page on your website. If you are not aware of any U.S. symbol for your company, we recommend that you go to OTCMarkets.com and type your company name in the quote search box (on the top left of the screen). Close to 1,000 TSX and TSXV companies trade on the OTC Grey Markets without the advanced involvement or knowledge of the issuers.

This FAQ may be modified, from time to time, so please check this website periodically for an updated version.

①

Can a U.S. citizen buy stocks listed on Toronto Stock Exchange (TSX) and TSX Venture Exchange (TSXV)?

Yes.

②

As a U.S. investor, how do I trade stocks listed on TSX and TSXV?

i. Trade through these U.S. online brokerages:

- **Interactive Brokers**
- **Fidelity (International Platform)**
- **Schwab**

These platforms allow U.S. retail investors to trade nearly all TSX- and TSXV-listed issuers. For Interactive Brokers and Fidelity's International Platform, investors will be able to access full quotes for TSX and TSXV symbols, quoted in Canadian dollars. On Schwab's platform, investors will need to use the stock's OTC ticker to trade it. Schwab investors will only be able to execute their trades in USD.

ii. Call your broker:

Not all U.S. brokerage firms offer access to every stock trading on TSX or TSXV. Some brokerages offer trading access, but limited quote information. Call your broker to find out if they provide full quotes and direct trading for the stock you wish to trade. If your broker does not offer trading for that stock, then you may consider contacting one of the online brokerage firms under FAQ 2(i) above.

③

I bought shares (or I am considering buying shares) of a TSX or TSXV company through a Private Placement; how do I get these shares deposited and tradable in a U.S. brokerage account?

If you bought shares of a TSX or TSXV issuer (or would like to purchase shares of one of these issuers) via private placement, and your U.S. broker informs you that they cannot deposit these shares, contact the [Managing Director, Global Capital Development & Business Transformation](#) at TSX or one of these firms directly that specializes in trading TSX/TSXV securities:

→ [Haywood Securities](#) 1 800 663-9499

→ [Canaccord Genuity](#) 1 800 382-9280

④

I can't view a particular TSX or TSXV stock on my online trading platform, how do I access information about the stock?

Call your broker to see if they can provide you with access to information. If you are looking to trade the stock, they may be able to assist you with the trade on their platform or process the order for you over the phone (check with your broker to see if additional fees apply). Otherwise, you may consider contacting one of the online brokerage firms under FAQ 2(i) above.

Free information on TSX and TSXV stock → [Access TMX Money](#)

For more information contact:

RICHARD GOODMAN

Managing Director,
Global Capital Development & Business Transformation
Toronto Stock Exchange & TSX Venture Exchange

T 1 416 399-4225
Richard.Goodman@tmx.com

GENERAL INQUIRIES

T + 1 844 308-5494 (Toll Free)
issuer.services@tmx.com

us.tsx.com