

# TSX & TSXV

# FAQ

by U.S. Retail Investors about Investing in TSX and TSX Venture Exchange-Listed Stocks



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We created the following document, based on Frequently Asked Questions (FAQs) by U.S. retail investors to Toronto Stock Exchange and TSX Venture Exchange-listed issuers. At your discretion, you may download, edit and post this document to the Investor Relations page on your website. If you are not aware of any U.S. symbol for your company, we recommend that you go to [otcmarkets.com](http://otcmarkets.com) and type your company name in the quote search box (on the top left of the screen). Close to 1,000 TSX and TSX Venture Exchange companies trade on the OTC Grey Markets without the advanced involvement or knowledge of the issuers.

**This FAQ may be modified, from time to time, so please check this website periodically for an updated version.**

①

**Can a U.S. citizen buy stocks listed on Toronto Stock Exchange (TSX) and TSX Venture Exchange (TSXV)?**

Yes.

②

**As a U.S. investor, how do I trade stocks listed on TSX and TSXV?**

**i. Trade through these U.S. online brokerages:**

→ **Interactive Brokers**

→ **Fidelity (International Platform)**

→ **Schwab**

These platforms allow U.S. retail investors to trade nearly all TSX- and TSXV-listed issuers. For Interactive Brokers and Fidelity's International Platform, investors will be able to access full quotes for TSX and TSXV symbols, quoted in Canadian dollars. On Schwab's platform, investors will need to use the stock's OTC ticker to trade it. Schwab investors will only be able to execute their trades in USD.

**ii. Call your broker:**

Not all U.S. brokerage firms offer access to every stock trading on the TSX or TSXV. Some brokerages offer trading access, but limited quote information. Call your broker to find out if they provide full quotes and direct trading for the stock you wish to trade. If your broker does not offer trading for that stock, then you may consider contacting one of the online brokerage firms under FAQ 2(i) above.

③

## I bought shares (or I am considering buying shares) of a TSX or TSXV company through a Private Placement; how do I get these shares deposited and tradable in a U.S. brokerage account?

If you bought shares of a TSX or TSXV issuer (or would like to purchase shares of one of these issuers) via private placement, and your U.S. broker informs you that they cannot deposit these shares, contact the [Head of Capital Development](#) at TSX or one of these firms directly that specializes in trading TSX/TSXV securities:

→ [Haywood Securities](#) 1 800 663-9499

→ [Canaccord Genuity](#) 1 800 382-9280

④

## I can't view a particular TSX or TSXV stock on my online trading platform, how do I access information about the stock?

Call your broker to see if they can provide you with access to information. If you are looking to trade the stock, they may be able to assist you with the trade on their platform or process the order for you over the phone (check with your broker to see if additional fees apply). Otherwise, you may consider contacting one of the online brokerage firms under FAQ 2(i) above.

Free information on TSX and TSXV stock → [Access TMX Money](#)

## For more information contact:

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### **GENERAL INQUIRIES**

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