

2018 TMX Equities Trading Conference

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Wednesday, May 9, 2018

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Agenda

TIME	Scheduled Event		Where
7:30 a.m.	Registration and Breakfast		Founders Lounge
8:00 a.m.	Welcome & Introduction of Master of Ceremonies	Jeff Foster, TMX Group Jon Schneider, TMX Group	C1
8:10 a.m.	Regulatory Landscape: Update and Discussion Regulators discuss recent hot topics including internalization and access fee pilots, and provide updates on ongoing initiatives.	MODERATOR Jonathan Sylvestre, TMX Group PANELISTS Susan Greenglass, OSC Victoria Pinnington, IIROC Lynn Tsutsumi, ASC	C1
8:55 a.m.	TMX Perspectives: Market Structure	SPEAKER Jonathan Sylvestre, TMX Group	C1
9:10 a.m.	Welcome Remarks & Market Open Ceremony	Lou Eccleston, TMX Group Kevin Sampson, TMX Group	C1
9:30 a.m.	The Role of the Market Maker Is market making an obligation or incentive? Our panelists discuss the roles and responsibilities of a market maker, as well as the various programs in place at Canada's trading venues.	MODERATOR Jeff Foster, TMX Group PANELISTS Matthew Goddard, BMO Capital Markets Tara Kennedy, TD Securities Tara Muller, Virtu Financial	C1
10:15 a.m.	CSTA Chair's Update	Robert Gouley, OMERS and Chair, Canadian Security Traders Association	C1
10:20 a.m.	Morning Break	SPONSORED BY 	Founders Lounge

TIME	Scheduled Event		Where
10:35 a.m.	TMX Perspectives: TSX Dark Trading	VIDEO Devika Buchanan, TMX Group	C1
10:40 a.m.	ETFs and the Growth of Impact Investing Today, there is more and more news about how ETF and Index managers are flexing their weight behind corporate governance, social and environmental issues. In this session, we will investigate how ETF managers are dealing with growing oversight and scrutiny from investors.	MODERATOR Graham MacKenzie, TMX Group PANELISTS Kevin Gopaul, BMO Global Asset Management Steven Hawkins, Horizons ETFs Phil Juliano, BlackRock Som Seif, Purpose Investments	C1
10:40 a.m.	Crypto and Trading The crypto world is evolving at a record pace, and becoming a growing topic of interest within mainstream financial circles. This panel will discuss the recent appetite shift and explore ways the traditional channels may be looking at getting involved in trading crypto.	MODERATOR Penny Lam, TMX Group PANELISTS Peter Conroy, TMX Group Shaun Cumby, 3iQ Stuart Davis, BMO Greg McNab, Baker McKenzie	C3
11:25 a.m.	TMX Perspectives: TSX NAVex	VIDEO Michael Nijadlik, TMX Group	C1
11:30 a.m.	MiFID II: Now that it's here... With MifID II arriving in Europe in January of this year, what are the early effects we are seeing in Canada, how is the buy side dealing with the new European regulatory regime, and what can we expect in the future?	MODERATOR Etienne Phaneuf, ITG PANELISTS John Christofilos, AGF Investments Craig Hurl, Ontario Teacher's Pension Plan Jack Purich, Canada Pension Plan Investment Board	C1
12:15 p.m.	Attendee Lunch	SPONSORED BY  	LUMA

TIME	Scheduled Event	Where	
1:00 p.m.	<p>Canadian Equity Market Structure Today</p> <p>Panelists discuss the hot button topics that market practitioners are faced with everyday, and where do we see the market headed in the immediate future?</p>	<p>MODERATOR Kevin Sampson, TMX Group</p> <p>PANELISTS Rizwan Awan, BMO Capital Markets Robert Gouley, OMERS Vlad Khandros, UBS Heather Killian, CIBC Capital Markets</p>	C1
1:00 p.m.	<p>Growth in the Canadian Options and Futures Markets</p> <p>Find out how portfolio managers compare derivatives and select the right exposure vehicle. Learn from the lens of a Pension, Hedge Fund and Asset manager.</p>	<p>MODERATOR Daniel Nebelung, Société Générale</p> <p>PANELISTS John Ley, Polar Asset Management Partners Muz Parkhani, OMERS Aly Somani, Sun Life Global Investments</p>	C3
1:45 p.m.	<p>TMX Perspectives: Canada's Evolving Innovation Sector</p>	<p>SPEAKER Michael Koussaie, TMX Group</p>	C1
1:50 p.m.	<p>Canada's Competitive Advantage: Public Venture Capital</p> <p>Canada's two-tiered markets represent a globally unique capital formation platform. Since 2000, over 645 companies have graduated from TSXV to TSX, and now represent roughly 20% of the TSX-listed equities, and S&P/TSX Composite constituents.</p>	<p>MODERATOR Brady Fletcher, TMX Group</p> <p>PANELISTS Dan Daviau, Canaccord Genuity Harris Fricker, GMP Securities Ron Schmeichel, JJR Private Capital</p>	C1
1:50 p.m.	<p>Innovation in Trading Technology</p> <p>Hear from the vendor community about how technology is keeping up with (or trying to stay ahead of) the ever-changing needs of the trading and investment community.</p>	<p>MODERATOR Jeff Foster, TMX Group</p> <p>PANELISTS Mark Davies, S3 Travis Felker, Iress Jonas Lindquist, Itiviti David Polen, Fidessa</p>	C3
2:35 p.m.	<p>TMX Perspectives: Canada's IPO Market and 2017 in Review</p>	<p>VIDEO Robert Peterman, TMX Group</p>	C1

TIME	Scheduled Event		Where
2:40 p.m.	Afternoon Break and Candy Bar	SPONSORED BY 	Founders Lounge
3:00 p.m.	TMX Perspectives: The TSX MGF Facility	VIDEO Catherine Lam, TMX Group	C1
3:05 p.m.	The Machine Revolution: Where do we go from here? AI and machine learning are here to stay. How will these new technologies impact trading and the role of the trader?	MODERATOR Stephen Bain, RBC Capital Markets PANELISTS Earl Cummings, ITG Nitin Gambhir, Tethys Technology, Inc. Motaz Nofal, Ontario Teachers' Pension Plan Evan Young, Scotiabank	C1
3:55 p.m.	TMX Perspectives: Trade Canada	VIDEO Michael Tintinaglia, TMX Group	C1
4:00 p.m.	Market Structure Scramble! Market structure aficionados give their views on as many hot topics as can be squeezed into a 45 minute session.	MODERATOR Jonathan Sylvestre, TMX Group PANELISTS Doug Clark, ITG Peter Haynes, TD Securities Justin Schack, Rosenblatt Securities	C1
4:45 p.m.	Closing Remarks	Luc Fortin, TMX Group	C1
5:00 p.m. – 6:30 p.m.	Networking and Cocktail Reception	SPONSORED BY  	LUMA + Founders Lounge

Speaker Biographies

RIZWAN AWAN

Managing Director, BMO Capital Markets

Rizwan heads up Quantitative Execution Services at BMO Capital Markets, providing institutional equity clients program and electronic trading services as well as index and market structure research. Rizwan is an active participant in the market structure community and represents BMO on a number of advisory groups. He is also the former Chair of the Canadian Securities Traders Association (CSTA). Prior to joining BMO Capital Markets in 2005, Rizwan worked on algorithmic trading strategies at ITG Canada Corp. He holds a BMath degree in Computer Science from University of Waterloo and is a CFA charterholder.

STEPHEN BAIN

Managing Director, Global Equities, RBC Capital Markets

In his tenure with RBC Stephen has led market structure analysis, the development of analytics and algorithmic trading product and the firm's Canadian electronic trading business. He has served as advisor on standing committees with the Ontario Securities Commission and the Investment Industry Regulatory Organization of Canada. He is also a member of the Canadian Security Traders Association's Trading Issues Committee. Today, Stephen represents RBC Capital Markets' interests in RBC's Enterprise Innovation Council and Co-Chairs RBC's Global Markets Innovation Council. In this capacity, he monitors emergent technologies and their implications for RBC's wholesale trading businesses as well as tracking related trends across the enterprise. He has also been charged with spearheading various strategic initiatives and commercial innovation activities for Global Equities. Stephen joined RBC Capital Markets' portfolio trading platform in 2004 after serving three years in our research arm as an analyst following quantitative, index and arbitrage related strategies. Prior to RBC he served as a fundamental and quantitative analyst at another Canadian broker-dealer. He holds a Bachelors and Masters of Management Studies from Carleton University in Ottawa and has been a Chartered Financial Analyst since 2000.

DEVIKA BUCHANAN

Senior Product Manager, TMX Group

As a Senior Product Manager, Equity Trading, Devika is responsible for maintaining a competitive Equity Trading product strategy and actively managing and increasing the use of assigned products to meet business unit objectives. Prior to her role in Product Management, Devika spent 10 years in Equity Market Operations as a Senior Manager, providing operational leadership needed to ensure that TMX Group of Equity Marketplaces operate seamlessly, and that clients are provided a high level of service that reflects the TMX's commitment to an efficient, fair and transparent market place. Devika inherently understands the importance of TMX customers based on her roles within the organization, and maintains a customer-driven focus when outlining a product growth roadmap.

JOHN CHRISTOFILOS

Senior Vice President, Chief Trading Officer, AGF Management

John Christofilos is Senior Vice-President, Chief Trading Officer at AGF Management, a Global Asset Manager based in Toronto, Canada. In this role, John leads a trading team responsible for AGF's \$37 Billion, Institutional, Retail and High Net Worth portfolios spanning 44 global markets and multiple asset classes. John is also a member the AGF's Business Development unit with responsibility for reviewing and leading Capital Markets opportunities and technology advancements. John has extensive experience of more than 25-year career on both the buy and sell side of our industry. Before joining AGF in 2014, John was a Managing Director at Canaccord Genuity leading their efforts in electronic and program trading. Prior to Canaccord, he worked as Senior Managing

Director for E*TRADE Institutional, a full-service financial services firm based in New York City. John is a member of the Canadian and U.S. Securities Traders Associations, and is also on the Board of Directors of CETFA – Canadian ETF Association. John holds a Bachelor of Science degree from San Diego California based United States International University, and where he played Division 1 Hockey.

DOUG CLARK

Managing Director: Head of Americas Market Structure, ITG

Doug Clark, Managing Director: Head of Americas Market Structure. Doug started on Bay Street trading options for a large bank, in the early 1990s. Since then he has traded both equities and options, in a proprietary and agency capacity. Doug is on his second tour at ITG. He was one of the original traders of the ITG Canada team. In his current role Doug is responsible for market structure content and delivery for the Americas region, and also runs the Global Index research group, and the Canadian low touch trading desk. He is currently the Vice Chair of the STA, and sits on several exchange and regulatory advisory committees.

PETER CONROY

President, Shorcan

Peter has worked in the financial services industry since the late 1990s, starting at Dow Jones Telerate and Bridge Information Services (later Reuters). He was one of the founders of Canada's first registered ATS (Alternative Trading System), CollectiveBid Systems Inc./CBID Markets Inc. and worked as it's Vice President of Sales, Marketing & Customer Relations. Peter has also worked as Senior Account Manager at TSX Markets and served as Director of Business Development, Futures Market at the Montreal Exchange. Peter is currently President of TMX Group Limited's fixed income inter-dealer broker, Shorcan Brokers Limited and Shorcan DCN (Digital Currency Network). He was also founder and President of Calgary based Shorcan Energy Brokers Inc., Co-Managing Director of TSX Private Markets and served on the Board of NGX (Natural Gas Exchange). Peter serves on the board of directors of CanPX, a transparency system that is a joint venture of Investment Industry Association of Canada (IIAC), Investment Industry Regulatory Organization of Canada (IIROC) member firms represented on the IIAC Debt Markets Committee (Primary Dealers Section) along with certain inter-dealer brokers operating in domestic debt markets. Peter also sits on IIROC's Fixed Income Committee which has a mandate to advise on the development of rules and policies related to the trading of fixed income securities. In addition, Peter sits on the CDOR & CORRA Oversight Committee. Peter is also past-chair and currently serves on the Board of Directors of The SandBox Project, a national charity whose vision is to make Canada the healthiest place on earth for children and youth to grow up

SHAUN CUMBY

3IQ

Shaun Cumby has worked in trading and portfolio management for over twenty years across many asset classes, including asset back securities, mortgage backed securities, credit default swaps, bank loans and equities and related derivatives. His roles prior to joining 3iQ included being the Chief Risk Officer of Dundee Bank of Canada and co-head of the TD Securities corporate loan portfolio. Mr. Cumby earned an M.B.A. from the University of Toronto.

EARL CUMMINGS

Director, Head of Liquidity Management, ITG

Earl Cummings heads ITG Canada's liquidity management efforts. In this role, he is responsible for overseeing ITG's various liquidity offerings including algorithmic trading, smart order routing, inter-listed routing, and block-matching via POSIT Alert®. Mr. Cummings holds a BA in Political Science from York University in Toronto and prior to joining ITG, worked for BMO Nesbitt Burns, Fidelity and RBC in business analysis, in product management, and as an independent consultant.

DANIEL DAVIAU

President & CEO, Canaccord Genuity Group Inc.

Dan Daviau was appointed President and Chief Executive Officer and a director of the Company and Chief Executive Officer of Canaccord Genuity Group Corp. effective on October 1, 2015. Mr. Daviau served as President of Canaccord Genuity's North American Capital Markets business from February 2015. From 2012 to 2015, he was President of the firm's US Capital Markets business, where he helped to structure the firm's investment banking, research, sales and trading operations in the region and improve cross-border capabilities. From 2010 to 2012, Mr. Daviau was Head of Investment Banking for Canaccord Genuity and was actively engaged in improving the firm's sector diversification capabilities and contributing to its global growth strategy. Before the Canaccord/Genuity merger that was announced in 2010, Mr. Daviau was a Principal and Founder of Genuity Capital Markets, where he held a variety of senior roles since 2005. Before 2005, Mr. Daviau was Co-Head of Investment Banking at CIBC World Markets, a firm he joined in 1991. While at CIBC World Markets, Mr. Daviau also served as the Head of the Media and Telecommunications Group since 2000 and Head of the Technology Investment Banking Group in Canada since 1997. Having started his career as a securities lawyer with Goodman & Co., Mr. Daviau has extensive experience in a broad range of financing transactions and M&A assignments. His equity offering experience includes public and private financings for a number of leading global technology, online gaming, media and telecom companies. In the M&A space, Mr. Daviau has advised in excess of US\$50 billion of transactions. Mr. Daviau is based in Toronto, Canada. He holds an MBA from York University, an LL.B. from Osgoode Hall/York University and a B.A. (Math and Statistics) from the University of Western Ontario.

MARK DAVIES

S3

Mark directs the executive team and oversees the company's day-to-day operations, as well as client relations, sales, marketing and new business. He constantly challenges himself and the team to create innovative technologies and new product advancements. Mark's interest in financial services began when he was in high school. Rather than spending money he earned, Mark began trading stocks when he was sixteen. While a student at MIT, Mark tried his hand at day trading. Mark soon realized that his true forte was not in trading, but rather in solving problems by creating software, and began working with startups in Boston to develop software to solve problems ranging from allowing doctors to easily create a content-rich website simply to creating a behind-the-scenes multi-vendor shopping experience for child care providers. After Graduating from MIT, Mark was recruited by Trilogy Software in Austin, TX. Despite their excellence at recruiting and training, Mark missed the variety of problem solving opportunities that he was accustomed to in a startup environment. He then sampled several Austin startups before realizing that he could provide the most value by starting a company, rather than working for one. In 2002, Mark co-founded S3. Mark's broad experience has led to him publicly speaking on topics ranging from Transportation Security for Security Professionals to Entrepreneurship for Wharton Business School Students. Mark holds a Bachelor of Science degree in Computer Science and Engineering from the Massachusetts Institute of Technology.

STUART DAVIS

CAMS, Enterprise Chief AML Officer, BMO Financial Group / BMO Harris

Stuart Davis currently serves as the Enterprise Chief Anti-Money Laundering Officer for BMO Financial Group since 2013 and is based in Toronto, Canada. He has over 27 years of banking and regulatory experience, including five years as the Enterprise Bank Secrecy Act and Sanctions Officer for USAA in San Antonio, Texas. Previously he led global AML programs and product support for the payment services segment of First Data Corporation, which included Western Union Financial Services, Inc. and the AML/Sanctions compliance program across Capital One's North American portfolio of consumer and commercial products and services. Mr. Davis began his career with the Office of the Comptroller of the Currency. Mr. Davis also has been an industry speaker on various AML topics, including risk management and integrating technology and fraud programs. Mr. Davis has an honors degree in Economics from the University of Georgia. He currently serves as the Chair of the CBA's Anti-Money Laundering Specialists Group, Chair of the Toronto Chapter for the Association of Certified Anti-Money Laundering Specialists and will be co-chairing Canadian Department of Finance's new Advisory Committee on Money Laundering and Terrorist Financing starting in May and serves on the board of governors for Junior Achievement Central Ontario.

LOU ECCLESTON

Chief Executive Officer, TMX Group Limited

Lou Eccleston is Chief Executive Officer of TMX Group Limited. He joined TMX Group on November 3, 2014. Mr. Eccleston is a member of the TMX Group Board of Directors; he is also a member of the Board of Directors of several TMX Group subsidiaries, including TSX Inc., TSX Venture Exchange Inc., Montreal Exchange and NGX. Mr. Eccleston has more than 30 years of extensive experience gained in senior leadership roles in the information services, financial technology and capital market services sectors. Prior to joining TMX Group, he was President, S&P Capital IQ and Chairman of the Board, S&P Dow Jones Indices, which are business lines of McGraw Hill Financial. He was with that organization for six years. While there, Mr. Eccleston was named to the Institutional Investor "Tech 50" in 2012, 2013 and 2014. Previously, Mr. Eccleston was at Thomson Financial for four years in the roles of President of Global Sales, Marketing & Services and President of the Banking and Brokerage Group. Mr. Eccleston was at Bloomberg LP for 14 years, where he held a number of roles including chief executive of Bloomberg Tradebook for 6 years. He also served as Chairman and CEO of Pivot Inc., a capital market software services company. Mr. Eccleston earned a BA in Economics from Drew University in Madison, NJ as well as a MBA from La Salle University in Philadelphia, PA.

TRAVIS FELKER

Market Structure Products Lead, IRESS Market Technology

Travis Felker is the Market Structure Product Lead whose oversight includes responsibility for IRESS' Routing technology. Passionate about best execution policy and execution analytics, he has spearheaded two IRESS product initiatives – The Execution Dashboard and Fee-Based Routing. Travis Felker has 13 years of industry experience in both proprietary trading and product development roles. Travis began his career in 2005 at SWIFTrade where he traded American, European, and Canadian Equities in a proprietary capacity. In 2009, He was recruited to run the proprietary trading desk at Quantica where he oversaw the conception, development, and oversight of automated medium latency algorithms. In 2011, Travis' role extended to include oversight of the Quantica's automated framework and trading platform "Cloud Trader" before his transition to IRESS in 2017.

BRADY FLETCHER

Managing Director, TSX Venture Exchange

A Computer Engineer by background, Brady spent almost a decade in investment banking, primarily focused on financing and advising technology and diversified issuers through strategic transactions, before leaving to found Coastr. With Coastr, Brady successfully took a concept through ideation, building a development team, and launching the platform. We successfully sold a network of almost 30 venues locally while using customer feedback to drive iterative revisions to the app and back-end platform. Throughout his investment banking career, Brady has advised hundreds of companies on business strategy, capital raising, public and private markets, and exit strategies – having successfully executed over \$500million in growth equity financings, secondary transactions, and sell-side advisory mandates. Brady joined TMX Group recently and is Managing Director of TSX Venture Exchange, the world's leading public venture capital marketplace.

LUC FORTIN

President and CEO of the Montréal Exchange (MX) and Global Head of Trading, TMX Group

Luc Fortin is President and Chief Executive Officer of the Montréal Exchange (MX) and Global Head of Trading with TMX Group. Focused on leading growth in the areas of TMX derivative, fixed income, private markets and equity trading businesses, Luc helps to deliver a best-in-class experience to trading clients, developing and offering new product solutions. He joined MX as Managing Director, Derivatives Trading in June 2016, following a 25-year career in Canada's capital markets industry leading client-facing teams in fixed income and derivatives. Prior to joining MX, he served as Managing Director, Canadian Head of the Institutional Client Group at HSBC Bank Canada. In this role, Mr. Fortin led HSBC's institutional client-facing businesses in rates and credit, derivatives,

foreign exchange and money markets across Canada. Prior to HSBC, he held senior leadership positions at TD Bank and TD Securities. Mr. Fortin is a graduate of the TD Securities Leadership program at Ivey Business School and holds a Bachelor of Commerce from University of Ottawa. He has served as Board Chair of CANMarket Data and was an Investment Industry Association of Canada board member from 2006-2010.

HARRIS A. FRICKER

Chief Executive Officer, GMP Capital Inc.

Harris A. Fricker assumed the role of Chief Executive Officer of GMP Capital Inc. in October 2010. He joined GMP's Investment Banking Department in 2002 and assumed the role of President of GMP Securities L.P. in July 2008. Prior to joining GMP in 2002, Mr. Fricker held senior roles at several leading Canadian financial institutions, including, heading up the Institutional Sales & Trading desk of another leading investment dealer and running the corporate debenture/derivatives group at a major Canadian bank. A former entrepreneur himself, his depth of experience arising from over two decades in the North American capital markets combined with his acumen as an entrepreneur provides him with a unique perspective on the financial services landscape. Mr. Fricker holds a BA from Saint Francis Xavier University and a MA from Oxford University, which he attended as a Rhodes Scholar.

NITIN GAMBHIR

CEO & Head, Quantitative Research, Tethys Technology, Inc.

Nitin Gambhir is the founder of Tethys Technology, Inc. and is a known expert in market microstructure and execution research. His work, vision and passion for the industry has enabled Tethys to successfully expand its suite of product offerings globally and across multiple asset classes. Mr. Gambhir holds a bachelor's degree from the Indian Institute of Technology, New Delhi and a master's degree from Yale University. He began his career as an Associate with JP Morgan where he spent five years before joining Bear Stearns as Vice President, soon being promoted to Managing Director. Thereafter, Mr. Gambhir founded Tethys Capital, LLC in 1998 as a hedge fund firm that managed capital for institutional investors, and a second hedge fund, Altus Asset Management, LLC in 2000.

MATTHEW GODDARD

Managing Director and Head of ETF Sales and Trading, BMO Capital Markets

Matthew Goddard is a Managing Director and Head of ETF Sales and Trading at BMO Capital Markets. In this role, he works with the team to provide client, pricing, risk management and technology solutions for the business. Matthew also heads up the Data Cognition Team. This is centralized AI team that has the purpose of delivering cutting edge end-to-end Machine Learning research, solutions and products that drive and support the growth of BMO Capital Markets. Prior to joining BMO in 2010, Matthew co-founded and ran a High Frequency Trading company in Barbados specializing in ETF arbitrage. He also spent three years at National Bank of Canada in Toronto and Montreal where he was jointly responsible for the Single Stock Options Trading Business. Matthew holds a Bachelor of Commerce from Queen's University and is a CFA Charter holder.

KEVIN GOPAUL

Head, Global Head of ETFs and CEO, BMO Global Asset Management

Kevin is the Head of BMO Global Asset Management Canada, Global Head of ETFs and Chief Investment Officer. Kevin is a key asset to the team with over 18 years of progressive investment industry experience, including global passive and active strategies. Prior to joining BMO GAM, he served as Principal, Portfolio Manager at Barclays Global Investors Canada where he played an integral role in overseeing the management of Exchange Traded Funds and Institutional mandates. Kevin also worked as an index arbitrage trader with Scotia Capital. Kevin continues to be an active participant in the financial services industry, serving as a member of the Standard & Poor's Advisory Panel, and the Dow Jones Global Index Committee. Kevin studied Economics, Finance and Computer Science at the University of Waterloo. Kevin was named one of IIAC's Top 40 Under 40 in 2015 and is currently the Chairman of Canadian ETF Association.

ROBERT GOULEY

OMERS

Rob Gouley has worked on the trading desk of OMERS Capital Markets for the past nine (9) years trading global equities. Robert has a BA in Honours Economics from McMaster University, is a CFA charterholder, a CAIA Charter holder, an FRM in the Global Association of Risk Professionals, a CMT and member of the Market Technicians Association, and a Fellow of the Canadian Securities Institute. Robert is Chairman of the Canadian Securities Traders Association (CSTA) and Vice-Chairman of the Canadian Advocacy Council of CFA Societies Canada. In his spare time, he enjoys swimming, marathon running and competing in Ironman Triathlons.

SUSAN GREENGLASS

Director of Market Regulation Branch, Ontario Securities Commission

Susan Greenglass is the Director of the Market Regulation Branch at the Ontario Securities Commission. The Market Regulation Branch is responsible for the oversight of marketplaces, self-regulatory organizations and clearing agencies and the development of policy and rule initiatives related to market structure, post trade policy and fixed income. Susan is the Chair of the CSA SRO Oversight Committee and the OSC's Market Structure Advisory Committee. Susan joined the staff of the Ontario Securities Commission in 1997. Previously, she was a law clerk at the Ontario Court of Justice (General Division). She is a graduate of Osgoode Hall Law School and is a member of the Ontario Bar.

STEVEN HAWKINS

President and Co-CEO, Horizons ETFs Management (Canada) Inc.

Steven Hawkins is the President and Co-CEO of Horizons ETFs and is responsible for the day-to-day business and affairs of the firm. With more than 25 years of experience in the investment industry, Mr. Hawkins has been with Horizons ETFs or its predecessors and affiliates since 2007. Prior to this, Mr. Hawkins was also the Managing Partner and a Director with JovFunds Management Inc., a subsidiary of Jovian Capital Corporation, from late 2005 to 2011. From 2000 to late 2005, Mr. Hawkins served in a dual capacity as Chief Investment Officer and Senior Vice-President, Compliance and Risk Management, for First Asset Investment Management Inc., as well as Vice-President, Compliance, for its then parent company, AMG Canada Inc.

PETER HAYNES

Managing Director, Index Products, TD Securities

Peter Haynes joined TD Securities (TDSI) in June, 1995. Currently, Peter heads up TDSI's Index Products team, a top ranked group responsible for index and market structure research. In addition, Peter handles relationship management for a few key Ontario-based institutional investors, and manages TD Securities Client Relations Committee. Previously at TDSI, Peter was responsible for equity derivatives sales and portfolio trading. Prior to joining TDSI, Peter worked for one year in Montreal with Credit Lyonnais Canada, marketing global equity derivatives to Canadian investors. Before Credit Lyonnais, Peter started his career at the Toronto Stock Exchange, spending 6 years in their index and derivatives marketing department, involved in both retail and institutional efforts to develop the listed Canadian index products and equity derivatives business. He graduated from the University of Western Ontario in 1989 with a Bachelor of Arts in Economics. Peter is a member of S&P's US, Canadian and Global Index Advisory Panels.

CRAIG A. HURL

CFA, Director, Global Equity Trading, Ontario Teachers' Pension Plan Board (OTPPB)

Craig Hurl is Director, Global Equity Trading in the Capital Markets department at the Ontario Teachers' Pension Plan Board. As of August 2008, Mr. Hurl became Head of Equity Trading, which is responsible for all agency trading (including equities and equity derivatives) within the Fund. Prior to his current role, he was Director, Enhanced Index and Income Trusts, where he managed the Canadian Enhanced Index portfolio, an Income Trust portfolio, along with other global enhanced index strategies. Prior to joining Teachers' in June 1997, he was Manager, Index Operations at the Toronto Stock Exchange, where he worked for 10 years. Mr. Hurl graduated from the University of Guelph in Ontario, Canada with a Bachelor of Arts double major in Management Economics. Mr. Hurl is a Chartered Financial Analyst (CFA) charterholder, and is a member of the Toronto CFA Society.

PHIL JULIANO

Managing Director, Head of the BlackRock iShares US Asset Manager Team

Phil Juliano, Managing Director, is Head of the BlackRock iShares US Asset Manager Team and a strategic account manager covering US global asset managers. Prior to joining BlackRock, Mr. Juliano was an Executive Director with MSCI Inc. Phil managed the Boston index business overseeing a team covering all institutional client segments in New England. While at MSCI he was responsible for ETP and index relationships in addition to the global relationships across all products (index/risk) for both Fidelity and State Street. Prior to MSCI Phil worked as a partner for Michael Gold Associates. And spent 4 years with UBS Financial Services on the securities lending desk in NY/NJ. Phil began his career as an intern on Morgan Stanley's municipal bond desk in 2001. Mr. Juliano earned his BA degree in Business Management from Gettysburg College. He holds the FINRA Series 7, 63 & 24 licenses and is a member of the CFA Society of Boston.

TARA KENNEDY

Director, Head of Trading for ETFs, TD Securities

Tara Kennedy is currently Director, Head of Trading for ETFs and Automated Strategies, within the Global Equity Derivatives group. The ETF Trading and Automated Strategies groups are responsible for the research, development and execution of electronic trading strategies, electronic market making and facilitation of ETF orders. Tara has been with TD Securities for 13 years and a recipient of the 2017 Women in Capital Markets Executive Coaching Award. Tara holds an Honours Bachelor Degree in Business Administration from Wilfrid Laurier University.

VLAD KHANDROS

Managing Director, Global Head of Market Structure & Liquidity Strategy, UBS

Vlad Khandros advises clients and internal groups on market structure issues and focuses extensively on UBS's electronic strategy, particularly on exchange and alternative venue analysis, as well as order routing decisions. Vlad manages the global cross asset market structure team and the UBS ATS team which is currently the largest and most transparent bank ATS. Mr. Khandros represents UBS in several advisory committees and industry groups including the IEX Quality of Markets Committee, UBS Political Action Committee, and SIFMA Equity Markets & Trading Committee. Prior to joining UBS in 2011, Vlad was Global Co-Head of Corporate Strategy at Liquidnet, responsible for business development, market structure, and government relations. He was previously a board member of BIDS Trading LP and Chi-X Global LLC, and currently serves on the board of Stay Pawsitive, Inc. an animal welfare charity.

HEATHER KILLIAN

Executive Director, Prime Services Group, CIBC Capital Markets

Heather Killian is an Executive Director, Prime Services Group, CIBC Capital Markets. She is responsible for the sales of CIBC's electronic equity trading solutions, and functions in numerous roles on a daily-basis to help grow CIBC's equities business. Ms. Killian's responsibilities include: analyzing the unique market structures of the equity markets; advancing and distributing CIBC's electronic offerings; expanding relationships with marketplaces, regulators, counterparties and clients; evaluating new technologies; and contributing to CIBC's equity markets business strategy through in-depth analysis of the regulatory and market structure environment. Ms. Killian has been a key contributor to the development and growth of CIBC's electronic trading product platform. Prior to joining CIBC in 2010, Ms. Killian was the Chief Operating Officer at a Canadian Alternative Trading System (ATS) where she was involved in all aspects of the system's launch into the marketplace. She also worked for independent broker dealers with extensive experience in institutional trading and product management. Ms. Killian holds an Honours BA from the University of Western Ontario.

MICHAEL KOUSAIE

Head of Business Development, Technology, TMX Group

Michael Kousaie is one of the heads of business development at Toronto Stock Exchange (TSX) and TSX Venture Exchange (TSXV). In this capacity, he develops and directs the Exchange's global strategy for attracting new technology IPOs and growing the technology sector. He also works closely with founders, private company executives, and their shareholders as they explore their options for raising growth capital. In addition, Mr. Kousaie has been involved with several corporate/strategic initiatives for the Exchange, including reviewing and executing acquisitions, joint ventures, and a broad range of organic growth opportunities. Prior to joining TSX and TSXV, Mr. Kousaie spent 16 years as an investment banker in the Toronto office of a leading global investment bank, where he advised corporate boards and management teams on a range of domestic and cross-border IPOs, capital raisings, mergers, acquisitions, and corporate restructurings. Mr. Kousaie is a graduate of Queen's University's School of Business.

CATHERINE LAM

Senior Business Strategy Manager, Equity Trading, TMX Group

As Senior Business Strategy Manager, Catherine is responsible for new initiatives, strategy and product development for the trading businesses of Canada's premier equity exchanges, Toronto Stock Exchange (TSX), TSX Venture Exchange, and TSX Alpha Exchange. Catherine joined TMX in 2010 and has spent her career creating and executing on key equity trading products, including the launch of ATS TMX Select, the TMX Smart Order Router (SOR), and most recently, the revitalization of TSX's established Market Making Program and MGF Facility. She is the chair of the TSX Market Making Implementation Working Group. Prior to TMX, Catherine held positions in equity and FX trading product development at Liquidnet Canada and E*TRADE Capital Markets LLC in New York. Other past roles include consulting at Deloitte and research at ITG. She is a certified Project Management Professional (PMP) and has completed the CSC, Trader Training Course, Series 7, and Series 55. Catherine holds a Systems Design Engineering degree from the University of Waterloo.

PENNY LAM

Innovation Solutions Strategist, TMX Group

Penny is a technology strategist with a broad background in Canadian & European financial services, where she held a number of roles in driving technological transformation at leading financial institutions. As Innovation Solutions Strategist at TMX Group, Penny is responsible for helping the enterprise navigate growth opportunities through hands-on product discovery and experimentation. Prior to TMX Group, she was Head of Execution for Deutsche Bank Labs in London, where she delivered some of the industry's first enterprise blockchain prototypes, as well as applied insights into emerging trends such as digital identity and artificial intelligence. Penny's previous experiences also include strategy consultancy, architectural assurance and software delivery in various areas of investment banking, capital markets and core banking infrastructure, motivated by her keen interest in technology applications that can transform the status quo.

JOHN R. E. LEY

Strategy Lead, Volatility and Equity Derivatives, Polar Asset Management Partners

John is responsible for equity derivative and volatility strategies, having joined Polar Asset Management Partners in June 2017. Prior to joining Polar, he was CEO of Clifton Capital Management, a financial technology company with a focus on volatility. John was a Managing Director at TD Securities Global Equity Derivatives from 2002-2013. He has also worked at Bank of Montreal as an equity options specialist and market maker and Bank of Nova Scotia as a foreign exchange forward trader. John graduated from Acadia University in 1987, and is a Chartered Financial Analyst.

JONAS LINDQVIST

Principal, Strategic Research, Trading & Trade Execution, Itiviti

Jonas has over 18 years of experience within the financial technology industry. Having been part of a team responsible for implementing MiFID at a Swedish broker firm, and having spent the last couple of years discussing challenges and opportunities of MiFIR and MiFID II across Europe, he has proven knowledge and expertise understanding the impact of regulation on everyday business activity. Jonas recently joined Itiviti's Strategic Research department, where he is part of the group responsible for helping to develop software and services that will enable firms to navigate a post-MiFID II financial landscape—making internalisation efficient, improving automation and working to leverage audit data.

GRAHAM MACKENZIE

Senior Account Manager, TMX Group

Graham MacKenzie joined TMX in 2016 as a Senior Account Manager. His responsibilities include managing the TSX's relationship with the big 5 Canadian banks. He is also responsible for the TMX Exchange IQ initiative that helps educate and answer issuer questions related to trading as well as rationalizing investors' motivations. Graham is a former equity trader with nearly 20 years of experience, including leading several block, retail and portfolio trading desks. Graham was a pioneer in the Canadian electronic and portfolio trading businesses. He began his trading career as an options trader on the floor of TSX. Before joining TMX, Graham was Head of the Canadian Trade Desk at Liquidnet Canada. Graham served as Executive Director, Equity Markets at CIBC where his responsibilities included Portfolio Trading, Head of the Retail Execution Services and Retail Block Trading desks. Prior to CIBC, Graham worked at RBC Capital Markets as Director, Global Equities. At both CIBC and RBC, Graham was responsible for managing their corporate trading relationships where he advised issuers on corporate buybacks, building toehold positions and disposing minority stakes. Graham and his wife, Michèle, are the founders of Ready, Set, Play Children's Charity, a Toronto based charity that provides funding for underprivileged children to participate in recreational sports and dance.

GREG MCNAB

Partner, Baker Mackenzie

Greg McNab is a partner in the Firm's Corporate & Securities Practice Group in Toronto and helps lead the Firm's Canadian cryptocurrency practice. His main areas of practice include working with public and private securities issuers, investment management professionals and capital markets intermediaries. For financial services intermediaries, Greg regularly advises on registration requirements and exemptions, MFDA, IIROC and CSA compliance, new product offering requirements and mergers and acquisitions in the industry. After starting as a mechanical engineer, Greg also worked as a police officer in Ontario and at the Ontario Securities Commission. Greg regularly speaks, writes published articles and appears in the media with respect to a variety of compliance, securities and commercial matters.

TARA MULLER

Head of Off Exchange Liquidity, Virtu Financial

Tara Muller is responsible for Virtu's off-exchange liquidity offerings, including VEQ Link (formerly Acknowledge Equities and Knight Link), VEQ and the MatchIt ATS. She served in a similar capacity at KCG Holdings before Virtu acquired the firm in July 2017. Tara's responsibilities include new business strategy, product development and account management. She also serves on the firm's Management and Retail Order Routing Committees. Tara joined Virtu (then Knight Capital) in 2006 to build the Knight Link business and was a Managing Director in Knight's Electronic Trading Group. Over the last 11 years, Virtu has become one of the largest providers of off-exchange liquidity in the U.S. equities market and is an essential source of liquidity for the street's smart order routers and ATSs. Prior to joining Knight, Tara spent more than 10 years at Reuters America (now Thomson Reuters) where she was responsible for sales of Reuters desktop products, fundamental and estimate content, and real-time data feeds. Tara is a passionate committee member of Wall Street's Taste of New York to benefit St. Jude's Children's Research Hospital and was Co-Chair of the event in 2018.

DANIEL NEBELUNG

Senior Director, Société Générale

Daniel Nebelung joined Société Générale in Toronto in 2009 and is a senior Director with responsibility for Equities and Derivatives for SG Canada. Prior to joining SG, Daniel worked in the UK for four years within the Equity Derivatives divisions of Morgan Stanley and Merrill Lynch, and spent three years in the US working as an analyst within the Private Wealth Management division of Goldman Sachs. Daniel obtained a Bachelor of Science in Economics with a concentration in Finance from the University of Pennsylvania – Wharton School of Business (Philadelphia, USA) and an MBA from the London Business School (London, UK).

MICHAEL NIEJADLIK

Director, Product Development, TMX Group

Responsible for managing and developing the existing suite of trading products and services for Toronto Stock Exchange (TSX), TSX Venture Exchange, and TSX Alpha Exchange, and creating globally innovative products and services designed to leverage TMX's current capabilities in new ways for clients.

MOTAZ NOFAL

Principal Trader, Ontario Teachers' Pension Plan

Motaz (Mo) is a trader at Ontario Teachers' Pension Plan with a focus on trading global equities, futures, and equity derivative products. Prior to working at OTPP, Mo held various roles at TD Securities, most recently on the Alternative Execution Desk focusing on electronic equity trading solutions for institutional clients. Mo is a graduate from York University with an honors degree specializing in Finance.

MUZ PARKHANI

Principal, Fundamental Credit, OMERS

Muz is a member of the Capital Markets Global Thematic Return team, which is responsible for the management of \$15 billion of exposure. In his current role, Muz is responsible for identifying and managing cross asset opportunities across the globe that are consistent with Capital Markets broader investment strategy. Muz joined Capital Markets in 2010 in the Associate Rotation Program, spending time with various areas across OMERS Capital Markets, before joining the Global Thematic Return team full time. Muz is a graduate of York University where he received his Bachelor of Commerce. He is also a CFA charter holder.

ROBERT PETERMAN

Vice-President, Global Business Development, TMX Group

Robert Peterman is Vice-President, Global Business Development at Toronto Stock Exchange (TSX), TSX Venture Exchange (TSXV). He is responsible for domestic and international business development activities for TSX and TSXV; including the Beijing office and TSX and TSXV's presence in the United Kingdom and Israel. His group covers new listings and investor development activities for TSX and TSXV. Combined, the exchanges are home to over 3,100 public issuers. During 2017 \$54.5B was raised by companies on TSX and TSXV making it one of the largest exchanges in the world for raising equity capital. Robert completed his MBA at the Richard Ivey School of Business. Prior to working at TMX, Robert worked in Corporate Strategy for a subsidiary of Brookfield Asset Management. He was a council member of the Ivey Entrepreneurship Council and a Director of the Necessary Angel Theatre Company. Currently he is also an advisor to SVX (Social Venture Exchange) a joint venture between TMX Group and MARS.

ETIENNE PHANEUF

Managing Director, Chief Executive Officer, ITG

Etienne Phaneuf joined ITG in 2000 as a portfolio trader and has been responsible for all client-facing teams, has been heavily involved in product decisions, and set strategy for sales efforts and client coverage teams in Canada. In July 2016, he was named to a concurrent role, global head of portfolio trading. As such, he will lead ITG's enhancement of end-to-end capabilities. Previously, he worked at TD Securities as a trader, and began his career at Canada Trust Securities in trading and quantitative analysis. Phaneuf holds a bachelor's degree in mathematics from the University of Waterloo.

VICTORIA PINNINGTON

Senior Vice President of Market Regulation, IIROC

Ms. Pinnington is the Senior Vice President of Market Regulation at IIROC and is responsible for the management of all of IIROC's market-related activities, including policy development, audits, surveillance of Canadian debt and equity trading, and analytics. Ms. Pinnington also oversees the development of the organization's cross-product surveillance and analysis capabilities. Ms. Pinnington has been in the market regulation field for 20 years and has held a variety of positions at IIROC, most recently as Vice-President, Trading Review and Analysis responsible for the oversight of preliminary reviews of potential improper trading activity, post-trade surveillance, and market analytics including proactive and reactive market trend analysis to inform policy making. Ms. Pinnington is the past Chair of the Intermarket Surveillance Group, an international group of exchanges, market centers, and market regulators that perform front-line market surveillance in their respective jurisdictions. Prior to her career in market regulation, Ms. Pinnington pursued a career in opera performance and continues to perform regularly with the Canadian Opera Company.

DAVID POLEN

Global Head of Execution, Fidessa

David Polen is the Global Head of Execution where he oversees Fidessa's global, multi-asset execution platform. Mr. Polen has worked in the industry since 1999, building risk, execution and order management systems. Prior to his role as Global Head of Execution, Mr. Polen served as Managing Director at Lime Brokerage, where he oversaw the company's high frequency business, sales and product. Prior to that, Mr. Polen worked at Fidessa for thirteen years in roles ranging from developer to product marketing. In his latest position, he was Senior Vice President of hosted product marketing at Fidessa in the US. In this role, he was responsible for developing and extending the core strengths of Fidessa's hosted trading platform and its advanced trading tool suite of solutions.

JACK PURICH

CPP Investment Board

Jack joined CPP Investment Board's Global Capital Markets team in 2013 and has over a decade experience with large global financial institutions, working on both the buy and sell side, covering Developed & Emerging Equities, Portfolio Management and Trading. Current responsibilities at CPPIB include all aspects of Modern Portfolio Management including Trading, Factor Analysis, Risk Management, Synthetic Replication, Hedging, Market Access and Regulatory Issues.

KEVIN SAMPSON

President, Equity Trading, TMX Group

As President, Equity Trading, Kevin leads the trading businesses of Canada's premier equity Exchanges, Toronto Stock Exchange (TSX), TSX Venture Exchange, and TSX Alpha Exchange and is a member of TMX's Executive Operating Committee. His responsibilities include developing and evolving an integrated strategy, creating new innovative products and services, and overseeing the business development activities for TMX's entire equity trading business. In addition, Kevin is accountable for the reliable operations of TMX's equities marketplaces. Kevin joined TMX Group in 2006 and has held various leadership positions across multiple facets of the equities division, including business development, strategy, and product management. Prior to working for TMX, Kevin spent 7 years at SS&C. Technologies, where he held senior positions in product development and account management. Kevin has been an active contributor to constructive dialogue regarding market structure and policy issues in Canada over the years and is a current member of the OSC Market Structure Advisory Committee and IIROC's Market Rules Advisory Committee (MRAC).

JUSTIN SCHACK

Managing Director and Partner, Rosenblatt Securities

Justin Schack is a Managing Director and Partner at Rosenblatt Securities, a New York-based agency brokerage serving institutional investors. Schack heads the firm's Market Structure Analysis group, which provides asset managers, exchange groups, proprietary trading firms, regulators and other clients with in-depth intelligence on global market structure and the exchange industry. Prior to joining Rosenblatt in February 2008, Schack spent 14 years as a financial and public-affairs journalist, most recently as Assistant Managing Editor of Institutional Investor, where he authored more than 20 cover stories during his eight years on the magazine's staff. He routinely counsels senior industry executives and regulatory officials on market structure matters and has been widely quoted and interviewed as an expert by major media outlets including The Wall Street Journal, The New York Times, Bloomberg News, Reuters, the Financial Times and CNBC. In 2014 The Trade magazine named him to its list of the decade's 100 most influential people in buy-side trading. Schack also has served on the Market Structure Advisory Committee of the Toronto Stock Exchange and TSX Venture Exchange, testified before the US Securities and Exchange Commission and prepared US Congressional testimony on market-structure issues. His work in journalism has been recognized with awards from the National Press Club, the Society of Professional Journalists and the American Society of Business Publication Editors. Schack holds a BA in history from Seton Hall University and an MA in history from the University of Connecticut.

RON SCHMEICHEL

Chairman and Managing Partner, JJR Private Capital Inc.

With over two decades of professional experience in finance, capital markets and entrepreneurial ventures, Mr. Schmeichel has become a leading authority in Canadian public venture capital. His innovative approach to capital pool corporations and reverse merger transactions has yielded some of the most surprising deals in recent TSXV history, including the Nuuvera IPO and sale in early 2018. Mr. Schmeichel has been the founder and served on the board of 16 Toronto Stock Exchange Venture listed companies and is a member of the Ontario Advisory Committee for the TSXV. He received a BA, with Merit, from York University in 1992 and a JD from the University of Western Ontario in 1995, and currently serves as guest lecturer at the University of Western Ontario Faculty of Law.

SOM SEIF

Chief Executive Officer, Purpose Investments Inc.

Som Seif is the founder and Chief Executive Officer of Purpose Investments Inc. which he formed following the sale of Claymore Investments to BlackRock Inc. in March 2012. Mr. Seif started Claymore Investments in Canada in January 2005 and was the former President and Chief Executive Officer leading the implementation of the company's business development and corporate strategies. Over the seven years of its operation, Claymore Investments organically grew to \$8 billion in assets and established itself as a Canadian leader in bringing intelligent, low cost exchange-traded funds to investors through its family of thirty-four exchange-traded funds across broad asset classes. Prior to Claymore Investments, Mr. Seif was an investment banker with RBC Capital Markets, where he worked since 1999. He played a key role in developing the structured products group at RBC Capital Markets in both Canada and the U.S., where he structured and raised capital for both Canadian and U.S. asset managers. Som is a Chartered Financial Analyst and has a Bachelor of Applied Science with an emphasis on Industrial and Systems Engineering from the University of Toronto. Som has a strong commitment to community and is currently a member of the Sunnybrook Hospital Foundation Board, Chair of the Art Gallery of Ontario Corporate Development Committee, a member of the Art Gallery of Ontario's Foundation Board and University of Toronto Mechanical & Industrial Engineering Advisory Board, and a board member of The Next 36. In 2011, Som was recognized for his vision and leadership by Caldwell Partners International with the Top 40 Under 40 award.

ALY SOMANI

Associate Portfolio Manager, Sun Life Global Investments

Aly Somani is an Associate Portfolio Manager for tactical asset allocation and derivatives-based funds for Sun Life Global Investments. Located in Toronto, he is responsible for global macro research, cross-asset analysis, trading and investment ideas and strategies, portfolio construction and portfolio monitoring. Prior to joining Sun Life Global Investments in 2012, Aly spent four years in public accounting, three of which were at a national assurance firm. Aly is a Chartered Professional Accountant, Chartered Accountant, CFA Charterholder and Certified Public Accountant (US). He has also completed options, futures and derivatives licensing courses from the Canadian Securities Institute.

JONATHAN SYLVESTRE

Head, Business Strategy, Equity Trading, TMX Group

Jonathan currently holds the position of Head, Business Strategy, Equity Trading at TMX Group. His responsibilities in this role include strategy development and business intelligence for TMX's equities trading business. Prior to joining the TMX Group, Jonathan spent almost a decade at the OSC as member of the Market Regulation Branch, where his responsibilities included the oversight of marketplaces and self-regulatory organizations, and policy making relating to market structure issues.

MICHAEL TINTINAGLIA

Business Development Manager, TMX Group

Michael is a Business Development Manager for equity trading, covering North American Buy Side accounts as well as West Coast Canadian Broker/Dealers. Prior to joining TMX Group he was an Institutional equity trader for 13 years starting at a multinational firm, and ending at a Canadian boutique. Michael is actively involved with both the Institutional and Retail trading community, sitting on TSX's Buy Side and Retail advisory group. Michael holds a Masters of Finance from the Rotman School of Business at the University of Toronto, a B.Sc. in Engineering for the University of Guelph, and is a CFA charter holder.

LYNN TSUTSUMI

Director, Market Regulation, Alberta Securities Commission

Lynn Tsutsumi joined the Alberta Securities Commission (ASC) in July 2011 as Director, Market Regulation. In this role Lynn is responsible for the Market Regulation division, which provides securities regulation to the Alberta capital market by developing and administering rules and policies relating to exchanges, registrants and self-regulatory organizations. Prior to joining the ASC, Lynn served as the Chief Financial Officer and Vice-President, Finance for a number of companies, including Acumen Capital Partners, Tristone Capital Global Inc. and, most recently, AltaCorp Capital Inc. From 2003 to 2006, she was Manager, Financial Compliance with the Investment Industry Regulatory Organization of Canada. She commenced her securities industry career at the Alberta Stock Exchange in early 1995, with experience in both the Member Regulation and Listings departments. Lynn graduated, with distinction, with a Bachelor of Commerce degree from the University of Calgary in 1991 and received her Chartered Accountant designation in 1994.

EVAN YOUNG

Managing Director, Electronic Execution Services, Scotiabank

Evan Young is Head of Electronic Execution Services for Scotiabank's Global Equity Group. Evan joined Scotiabank in 2001 to design and develop algorithmic trading systems. He then moved to the Portfolio Trading desk, trading equities and multi-asset strategies on behalf of clients. In 2007 Evan started the Electronic Execution Services group (EES) with a mandate to offer DMA and algorithmic trading services directly to Scotia's institutional clients. The EES team is now a multi-disciplinary group of sales, trading and technology professionals based in Toronto, New York and Mexico City that are responsible for the design, development and operation of Scotiabank's algorithmic trading, smart order routing and direct market access services. They also provide clients with leading market structure research and commentary. Evan holds an Electrical Engineering degree from the University of Waterloo and is a CFA charterholder.

For more information:

Please contact the TMX Equity Trading Account Management Team.

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