

TSX MGF FACILITY
MGF-Eligible Trader ID AUTHORIZATION REQUEST

Name of Participating Organization: _____

Participating Organization Trading #: _____

The Participating Organization confirms that the Trader IDs set out in the table “**Authorized Participant MGF-Eligible Trader IDs**” are either:

- (a) used to enter orders on behalf of Retail Customers¹ only (“**Retail Only**”); or
- (b) generally intended to be used to enter orders that are MGF-eligible in accordance with TSX Policy 4-802(1)(a)(iii) (“**Other MGF-Eligible**”).

Note that ALL **MGF-ineligible** orders sent through a Trader ID listed below must be marked MGF-NO.

As a reminder, any Trader ID that is assigned to a DEA client is **MGF-ineligible** UNLESS the DEA client is a broker acting as an “agent” for retail client order flow, as provided in Policy 4-802(1)(a)(iii)(2)².

Designated Contact for MGF-Eligible Trader IDs:

Name:	Title:
Email:	Phone:

¹ “Retail Customer” has the meaning given to it in the TSX Rule Book effective November 27, 2017.

² Policy 4-802(1)(a)(iii) defines MGF Ineligible Orders in the TSX Rule Book effective November 27, 2017.

The Participating Organization requests TSX to permission the following Trader IDs as MGF-Eligible Trader IDs for entry of MGF eligible orders.

Authorized Participant MGF-Eligible Trader IDs				
Trader name	Trader ID	Usage <i>(Please check one)</i>		TSX Use Only Approved
		Retail Only	Other MGF-Eligible	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please insert additional pages if needed.

Participating Organization Authorization and Declaration	
The Participating Organization hereby certifies that the information provided above for the purpose of accessing the TSX MGF facility is true and correct. The undersigned is a signing officer for their respective department with authority to sign this form.	
Name of Participating Organization:	
Business Owner	Compliance
Name	Name
Title	Title
Signature	Signature
Date	Date