

# The MiG Report November 2016



Toronto Stock Exchange TSX Venture Exchange

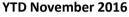
# New Listing\* Count: 205 (TSXV:96 TSX:109)

(\*Includes IPOs, CPC IPOs, QTs, RTOs, Graduates and Other)

# 108 Companies + 17 CPCs + 76 ETFs + 3 Closed-End Fund + 1 SPAC

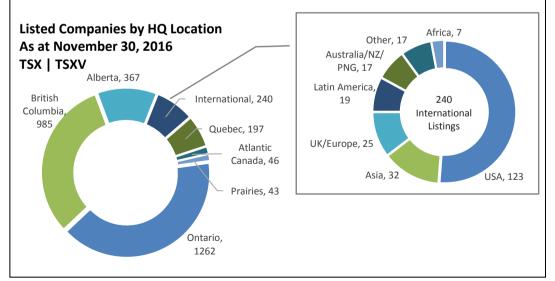
listed on TSX Venture Exchange (96) and TSX (109) YTD November 2016

# New Listings by Sector and Location





See page 4 for more detail.



# **BY THE NUMBERS**

**\$56.7 billion** Equity Capital Raised on TSXV and TSX YTD November 2016

\$2.8 million - Average financing size on TSXV\$94.8 million - Average financing size on TSX

**Equity Capital Raised by Sector**  Utilities & Pipelines, \$15.5B YTD November 2016 Oil & Gas, \$10.3B Mining, \$8.8B TSX | TSXV Financial Services, \$7.9B Diversified Industries, \$4.9B Real Estate, \$3.5B Clean Technology & Renewable Energy, \$2.9B Technology, \$817M Life Sciences, \$729M ETFs. \$517M Closed-End Funds, \$411M Comm. & Media. \$344M CPC/SPAC. \$82M Forest Products & Paper, \$0.6M

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### What's Going on Generally (YTD/as at November 30, 2016)

YTD/as at November 30, 2016	TSX Venture Exchange	TSXV Last Year	% Change	Toronto Stock Exchange	TSX Last Year	% Change	TSXV and TSX	TSXV and TSX Last Year	% Change
Number of Issuers	1,654	1,806	-8%	1,486	1,497	-1%	3,140	3,303	-5%
Quoted Market Value (QMV) (C\$ Billions)	36.3	23.3	56%	2,691.5	2,364.5	14%	2,727.8	2,387.8	14%
Going Public Activity/New Listings	96	79	22%	109	104	5%	205	183	12%
IPOs (ex CPCs/ETFs/CEFs/SPACs)	1	4	-75%	2	13	-85%	3	17	-82%
CPC/SPAC IPOs	17	14	21%	1	5	-80%	18	19	-5%
ETF & Closed-End Fund IPOs				79	73	8%	79	73	8%
Qualifying Transactions (QTs)	26	24	8%				26	24	8%
TSXV RTOs	13	11	18%				13	11	18%
NEX RTOs	5	2	150%				5	2	150%
Grads				15	9	67%	15	9	67%
Other	34	24	42%	12	4	200%	46	28	64%
Equity Capital Raised (C\$ Millions)	4,025.4	3,077.2	31%	52,633.7	51,272.2	3%	56,659.1	54,349.4	4%
Initial Public Offerings	33.5	43.7	-23%	1,415.3	6,703.7	-79%	1,448.8	6,747.4	-79%
Public Offerings/Supplementary	855.0	633.2	35%	48,143.7	36,028.0	34%	48,998.6	36,661.2	34%
Private Placements	3,137.0	2,400.4	31%	3,074.8	8,540.4	-64%	6,211.8	10,940.8	-43%
Number of Financings	1,426	1,134	26%	555	528	5%	1,981	1,662	19%
Volume Traded (Billions of Shares)	39.1	30.1	30%	88.5	75.3	18%	127.7	105.4	21%
Value Traded (C\$ Billions)	13.3	9.0	48%	1,273.1	1,197.7	6%	1,286.4	1,206.7	7%
# of Trades (Millions)	5.8	3.9	49%	212.6	194.6	9%	218.4	198.5	10%
S&P/TSX/TSXV Composite Indices	737.0	523.5	41%	15,082.9	13,469.8	12%			

Comparison of Major Index Performance -- YTD November 30, 2016 (Source: Capital IQ)



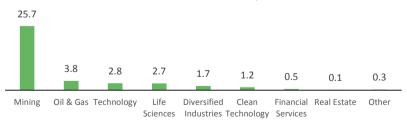


#### What's Listed on TSX and TSX Venture Exchange (As at November 30, 2016)

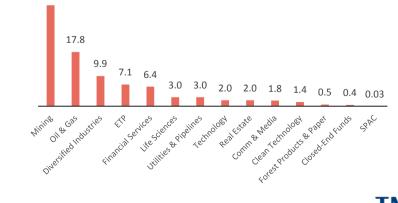
	TS	SX Ventu	ire Exchange		Тс	oronto St	ock Exchange	9	TSXV and TSX				
Sector	Listed Is	ssuers	Total QN	1V	Listed	Issuers	Total QN	1V	Listed	lssuers	Total QN	1V	
		(\$ millions) (\$ millions)		ns)			(\$ millions)						
Clean Technology & Renewable Energy	64	4%	1,017	3%	39	3%	33,518	1%	103	3%	34,535	1%	
Closed-End Funds					171	12%	21,144	1%	171	5%	21,144	1%	
Comm. & Media	11	1%	807	2%	26	2%	175,993	7%	37	1%	176,800	6%	
CPC/SPAC	36	2%	17	0.0%	6	0.4%	1,179	0.04%	42	1%	1,196	0%	
Diversified Industries	111	7%	2,259	6%	192	13%	546,693	20%	303	10%	548,952	20%	
ETFs					454	31%	111,402	4%	454	14%	111,402	4%	
Financial Services	51	3%	4,203	12%	80	5%	781,978	29%	131	4%	786,181	29%	
Forest Products & Paper	2	0.1%	88	0.2%	16	1%	22,405	1%	18	1%	22,493	1%	
Life Sciences	83	5%	3,355	9%	44	3%	21,074	1%	127	4%	24,429	1%	
Mining	974	59%	16,953	47%	229	15%	264,147	10%	1,203	38%	281,100	10%	
Oil & Gas	156	9%	3,395	9%	81	5%	317,047	12%	237	8%	320,442	12%	
Real Estate	31	2%	1,342	4%	67	5%	91,052	3%	98	3%	92,394	3%	
Technology	133	8%	2,764	8%	58	4%	81,185	3%	191	6%	83,949	3%	
Utilities & Pipelines	2	0.1%	71	0.2%	23	2%	222,678	8%	25	1%	222,749	8%	
TOTAL	1,654	100%	36,270	100%	1,486	100%	2,691,496	100%	3,140	100%	2,727,766	100%	
Region													
British Columbia	829	50%	14,733	41%	156	10%	177,465	7%	985	31%	192,198	7%	
Alberta	197	12%	5,610	15%	170	11%	609,034	23%	367	12%	614,644	23%	
Prairies	22	1%	965	3%	21	1%	89,866	3%	43	1%	90,832	3%	
Ontario	341	21%	9,504	26%	921	62%	1,255,256	47%	1,262	40%	1,264,760	46%	
Quebec	106	6%	1,751	5%	91	6%	387,212	14%	197	6%	388,964	14%	
Atlantic Canada	25	2%	512	1%	21	1%	38,029	1%	46	1%	38,541	1%	
International	134	8%	3,194	9%	106	7%	134,633	5%	240	8%	137,827	5%	
International Breakdown:													
USA	71	53%	1,703	53%	52	49%	88,202	66%	123	51%	89,905	65%	
Australia/NZ/PNG	8	6%	307	10%	9	8%	4,420	3%	17	7%	4,728	3%	
Asia	23	17%	311	10%	9	8%	3,515	3%	32	13%	3,826	3%	
UK/Europe	10	7%	190	6%	15	14%	9,254	7%	25	10%	9,444	7%	
Africa	3	2%	306	10%	4	4%	385	0%	7	3%	691	1%	
Latin America	12	9%	341	11%	7	7%	4,028	3%	19	8%	4,370	3%	
Other	7	5%	37	1%	10	9%	24,827	18%	17	7%	24,864	18%	
TOTAL	1,654	100%	36,270	100%	1,486	100%	2,691,496	100%	3,140	100%	2,727,766	100%	

33.1

Volume Traded YTD November 30, 2016 on TSXV by Sector (Billions of Shares)



Volume Traded YTD November 30, 2016 on TSX by Sector (Billions of Shares)



Toronto Stock

Exchange

**TSX Venture** 

Exchange

\*Other includes Comm. & Media, Forest Products, Utilities & Pipelines & CPCs.

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#### The MiG Report

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## What's New on TSX and TSX Venture Exchange (YTD November 30, 2016)

#### New International Listings:

						-	-			national List	ings:											
TSXV and TSX	1		mber-20				nber-20		TSXV						SX							
Sector	Canada	US	Int'l		Canada	US	Int'l	Total								n, PA						
Clean Tech & Renewable Energy	2	-	-	2	5	-	-	5	McorpCX, Inc., Tech, San Francisco, CA Brookfield Business Partners L.P., Real Estate, Bermuda													
Closed-End Funds	3	-	-	3	18	-	-	18	Vaxil Bio Ltd., Life Sciences, Israel Mainstreet Health Investments Inc., Real Estate, Carmel, IN													
Comm. & Media	-	-	-	-	1	-	-	1	Identillect Technologies Corp., Tech, San Juan (listed on TSXV in 2016, graduated to TSX in 2016)													
CPC/SPAC	18	-	-	18	18	-	1	19	Capistrano,	CA				w	/aste Con	nectio	ns Inc	<b></b> , Div. In	d., The W	oodlan	ds, TX	
Diversified Industries	8	1	-	9	15	1	-	16	Trackx Hold	<b>dings Inc.</b> , Tech	, Lone Tre	e, CA		E	uromax R	esourc	es Lto	<b>d.</b> , Minin	g, UK			
ETFs	76	-	-	76	55	-	-	55		harmaceutica			ces. Ar	nn Pa	acific Exp	loratio	n & P	roductio	on Corp., (	Dil & Ga	s, Color	nbia
Financial Services	10	-	-	10	5	1	-	6	Arbor, MI		/								ning, Lake			
Forest Products & Paper	_	-	_	-	-	-	-	-		sources Ltd., I	Mining, Au	stralia						,		,		
Life Sciences	10	3	2	15	7	3	-	10		n Corp., Minin	υ,											
Mining	33	1	4	38	23	-	3			lobal Interacti			c. Ter	ch Brazil								
Oil & Gas	9	-	1	10	3	-	1	4		inc., Tech, nol			••, •••									
Real Estate	1	2	1	4	3		T	2	-	ning Limited, N	0.											
	_		_		_	-	-	3														
Technology	13	4	3	20	13	5	1			edia Inc., Techr			~ \A/A									
Utilities & Pipelines	-	-	-	-	1	-	-			oldings Ltd., ⊤												
Total	183	11	11	205	167	10	6	183		tional Cannab	s Corpora			ices, Uru	iguay		_					
TSX Venture Exchange					lic Activi					IPOs		QT				RTC				Ot		
	YTD		mber-20				nber-20			ember-2016		Novem			YTD						nber-2	016
Sector	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada US	S Int'l Tot	al Canada	a US 🛛	Int'l	Total	Canada	US	Int'l	Total	Canada	US	Int'l	Total
Clean Tech & Renewable Energy	2	-	-	2	5	-	-	5			- 1			1				-	1			1
Closed-End Funds	-	-	-	-	-	-	-	-			-			-				-				-
Comm. & Media	-	-	-	-	-	-	-	-			-			-				-				-
CPC/SPAC	17	-	-	17	13	-	1	14	17	1	7			-				-				-
Diversified Industries	6	-	_	6	9	-	-	9			- 2			2	2			2	2			2
ETFs	-	-	-	-	-	-	-	-						_	-			_	_			
Financial Services	7	_	_	7	3	1	_	4			- 4			4	1			1	2			2
Forest Products & Paper	,	_	_	-	5	-	_	-						-	-			-	2			_
Life Sciences	- 7	2	2	11	6	2	-	8			- 3	1	1	5	2		1	3	2	1		2
	25	2	3	28	19	2	3				- 10		1		3		1	3		т	2	14
Mining	25 5	-	3		19	-		22					T	11	-			3	12 3		2	14
Oil & Gas	-	-	-	5	_	-	1	2	4		- 1			T	1	4		1	3			5
Real Estate	1	1	-	2	1	-	-	1	1		1			-		1		1	_	-	-	-
Technology	11	4	3	18	10	3	1	14			- 2			2	4	2	1	7	5	2	2	9
Utilities & Pipelines	-	-	-	-	-	-	-	-			-			-				-				-
Total	81	7	8	96	67	6	6	79	18	1	8 23		2	26	13	3	2	18	27	3	4	34
Toronto Stock Exchange	-				olic Activi					IPO		Gradu				Oth						
	YTD	Nover	mber-20				nber-20			ember-2016		Novem			YTD N	loven	nber-	2016				
Sector	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada US	S Int'l Tot	al Canada	a US 🛛	Int'l	Total	Canada	US	Int'l	Total				
Clean Tech & Renewable Energy	-	-	-	-	-	-	-	-			-			-				-				
Closed-End Funds	3	-	-	3	18	-	-	18	3		3			-				-				
Comm. & Media	-	-	-	-	1	-	-	1			-			-				-				
CPC/SPAC	1	-	_	1	5	-	-	5	1		1			-				-				
Diversified Industries	2	1	_	3	6	1	-	7	1		<b>1</b> 1			1		1		1				
ETFs	76	-	_	76	55	-	_	55	76	7				-		-		-				
Financial Services	3	_	_	2	2	_	_	2	1	,	1 1			1	1			1				
Forest Products & Paper	5	_	_		-	_	_	-	-					-	-			-				
Life Sciences	3	1		4	1	- 1	-	- 2			- 2			2	1	1		2				
	-	1	-		1	T	-	2					1	2								
Mining	8	T	1	10	4	-	-	4			- 7		1	8	1	1	4	2				
Oil & Gas	4	-	1	5	2	-	-	2			- 1			1	3		1	4				
Real Estate	-	1	1	2	2	-	-	2			-	1		1			1	1				
Technology	2	-	-	2	3	2	-	5			- 1			1	1			1				
Utilities & Pipelines	-	-	-	-	1	-	-	1			-			-				-				
Total	102	4	3	109	100	4		104	82	0	2 13	1	4	15	7	3	2	12				



### What's Financed on TSX and TSX Venture Exchange by Sector (YTD November 30, 2016)

	TSX Ve	enture Excha	nge (C\$ Mill	ions)	Toron	to Stock Exch	tock Exchange (C\$ Millions)			TSXV and TSX (C\$ Millions)			
Sector	IPO	РО	PP	Total	IPO	PO*	PP	Total	IPO	РО	PP	Total	
Clean Technology & Renewable Energy	-	9.2	63.3	72.5	-	2,287.5	565.8	2,853.3	-	2,296.7	629.0	2,925.7	
Closed-End Funds					181.8	229.2	-	411.0	181.8	229.2	-	411.0	
Comm. & Media	-	-	2.5	2.5	-	341.4	-	341.4	-	341.4	2.5	343.9	
CPC/SPAC	11.9	-	0.4	12.3	70.0	-	-	70.0	81.9	-	0.4	82.3	
Diversified Industries	-	102.2	103.2	205.3	508.0	4,173.9	35.3	4,717.2	508.0	4,276.1	138.5	4,922.5	
ETFs					506.0	10.5	-	516.5	506.0	10.5	-	516.5	
Financial Services	-	-	146.7	146.7	149.5	7,515.5	47.8	7,712.8	149.5	7,515.5	194.5	7,859.5	
Forest Products & Paper	-	-	0.6	0.6	-	-	-	-	-	-	0.6	0.6	
Life Sciences	-	131.4	69.3	200.7	-	413.0	115.3	528.2	-	544.4	184.5	728.9	
Mining	-	252.6	2,107.1	2,359.7	-	4,985.3	1,460.3	6,445.7	-	5,237.9	3,567.5	8,805.4	
Oil & Gas	-	82.6	174.4	257.0	-	9,394.3	644.3	10,038.6	-	9,476.9	818.7	10,295.6	
Real Estate	21.5	248.2	18.5	288.3	-	3,124.7	43.7	3,168.5	21.5	3,373.0	62.2	3,456.7	
Technology	-	28.7	451.0	479.7	-	318.3	19.5	337.8	-	346.9	470.5	817.5	
Utilities & Pipelines	-	-	-	-	-	15,350.0	142.8	15,492.9	-	15,350.0	142.8	15,492.9	
TOTAL	33.5	855.0	3,137.0	4,025.4	1,415.3	48,143.7	3,074.8	52,633.7	1,448.8	48,998.6	6,211.8	56,659.1	

Top 10 Financings for the Month of November 2016

#### **TSXV Top 10 Financings**

- 1. Mitra Energy Inc., Oil & Gas \$53M Private Placement
- 2. PRO Real Estate Investment Trust, Real Estate \$29M Public Offering
- 3. Mason Graphite Inc., Mining \$28.8M Private Placement
- 4. Falco Resources Ltd., Mining \$36.4M Public Offering
- 5. Corsa Coal Corp., Mining \$23M Private Placement
- 6. Filo Mining Corp., Mining \$20M Private Placement
- 7. NewCastle Gold Ltd., Mining \$18.5M Public Offering
- 8. Profound Medical Corp., Life Sciences \$17.4M Public Offering
- 9. Select Sands Corp., Mining \$16.5M Private Placement
- 10. Avino Silver & Gold Mines Ltd., Mining \$15.1M Public Offering

\*Includes supplementary & secondary offerings

#### TSX Top 10 Financings

- 1. TransCanada Corporation, Utilities & Pipelines \$4.5B Public Offering
- 2. Enbridge Inc., Utilities & Pipelines \$750M Public Offering
- 3. Manulife Financial Corporation, Financial Services \$475M Public Offering
- 4. Brookfield Asset Management Inc., Financial Services \$300M Public Offering
- 5. Gran Tierra Energy Inc., Oil & Gas \$174.5M Public Offering
- 6. Tourmaline Oil Corp., Oil & Gas \$115M Public Offering
- 7. Platinum Group Metals Ltd., Mining \$53.6M Public Offering
- 8. Park Lawn Corporation, Diversified Industries \$46M Public Offering
- 9. Teranga Gold Corporation, Mining \$36.4M Public Offering
- 10. True North Commercial Real Estate Investment Trust, Real Estate \$28.8M Public Offering



What's Happening by Sector (YTD November 30, 2016)

	TSX Venture	TSX	TSXV and TSX		TSX Venture	TSX	TSXV and TSX
Clean Technology & Renewable E	nergy			Mining			
Number of Issuers	64	39	103	Number of Issuers	974	229	1,203
QMV (C\$)	1,017,104,769	33,518,020,135	34,535,124,904	QMV (C\$)	16,952,968,441	264,146,661,745	281,099,630,186
New Listings	2	-	2	New Listings	28	10	38
Equity Capital Raised (C\$)	72,494,774	2,853,251,454	2,925,746,228	Equity Capital Raised (C\$)	2,359,720,756	6,445,672,633	8,805,393,389
Number of Financings	54	21	75	Number of Financings	1,199	216	1,415
Volume Traded	1,211,864,515	1,404,258,557	2,616,123,072	Volume Traded	25,715,136,911	33,108,619,918	58,823,756,829
Value Traded (C\$)	331,252,158	13,216,542,171	13,547,794,329	Value Traded (C\$)	6,884,941,647	235,947,470,352	242,832,411,998
# of Trades	172,196	3,472,975	3,645,171	# of Trades	3,369,031	58,634,339	62,003,369
Life Sciences				Oil and Gas			
Number of Issuers	83	44	127	Number of Issuers	156	81	237
QMV (C\$)	3,355,143,374	21,073,739,762	24,428,883,136	QMV (C\$)	3,395,178,251	317,047,096,327	320,442,274,577
New Listings	11	4	15	New Listings	5	5	10
Equity Capital Raised (C\$)	200,706,389	528,221,773	728,928,162	Equity Capital Raised (C\$)	257,029,565	10,038,618,016	10,295,647,581
Number of Financings	65	31	96	Number of Financings	91	59	150
Volume Traded	2,710,143,633	2,973,077,776	5,683,221,409	Volume Traded	3,779,245,995	17,785,574,498	21,564,820,492
Value Traded (C\$)	3,051,516,777	22,420,073,502	25,471,590,278	Value Traded (C\$)	766,332,474	172,460,033,304	173,226,365,778
# of Trades	1,050,514	4,859,470	5,909,983	# of Trades	274,858	39,948,366	40,223,224
Technology				Energy Services			
Number of Issuers	133	58	191	Number of Issuers	27	43	70
QMV (C\$)	2,763,614,379	81,185,104,633	83,948,719,012	QMV (C\$)	877,320,310	29,744,734,369	30,622,054,679
New Listings	18	2	20	New Listings	1	1	2
Equity Capital Raised (C\$)	479,686,312	337,766,400	817,452,712	Equity Capital Raised (C\$)	92,361,814	1,475,924,240	1,568,286,054
Number of Financings	71	8	79	Number of Financings	17	16	33
Volume Traded	2,799,328,459	1,978,006,237	4,777,334,696	Volume Traded	596,334,552	2,355,858,728	2,952,193,279
Value Traded (C\$)	986,225,810	37,190,620,208	38,176,846,018	Value Traded (C\$)	258,441,938	15,760,096,065	16,018,538,002
# of Trades	442,468	6,166,331	6,608,799	# of Trades	81,258	5,895,979	5,977,237

	TSX Venture	TSX
Capital Pool Companies (CPCs)		
Number of Issuers	36	
QMV (C\$)	17,132,235	
New Listings	17	
Special Purpose Acquisition Comp	anies (SPACs)	
Number of Issuers		6
QMV (C\$)		1,178,814,850
New Listings		1

	TSX
Exchange-Traded Products (ETFs)	
Number of Issuers	454
QMV (C\$)	111,401,783,218
New Listings	76
Closed-End Funds (CEFs)	
Number of Issuers	171
QMV (C\$)	21,144,116,252
New Listings	3



#### **Diversified Industries Sectors**

	TSX Venture	TSX	TSXV and TSX		TSX Venture	TSX	TSXV and TSX
Consumer & Industrial Products a	nd Services			Forest Products & Paper			
Number of Issuers	111	192	303	Number of Issuers	2	16	18
QMV (C\$)	2,259,115,428	546,693,223,629	548,952,339,057	QMV (C\$)	87,714,663	22,404,861,368	22,492,576,031
New Listings	6	3	9	New Listings	-	-	-
Equity Capital Raised (C\$)	205,347,897	4,717,153,456	4,922,501,353	Equity Capital Raised (C\$)	600,000	-	600,000
Number of Financings	58	39	97	Number of Financings	1	-	1
Volume Traded	1,694,641,204	9,911,698,168	11,606,339,371	Volume Traded	144,528,950	548,079,422	692,608,372
Value Traded (C\$)	639,839,875	211,864,245,652	212,504,085,527	Value Traded (C\$)	70,775,348	9,066,079,057	9,136,854,405
# of Trades	217,576	32,331,983	32,549,558	# of Trades	32,463	1,991,065	2,023,528
Communications & Media				Real Estate			
Number of Issuers	11	26	37	Number of Issuers	31	67	98
QMV (C\$)	807,197,675	175,993,098,143	176,800,295,818	QMV (C\$)	1,341,543,138	91,052,254,416	92,393,797,554
New Listings	-	-	-	New Listings	2	2	4
Equity Capital Raised (C\$)	2,530,650	341,377,157	343,907,807	Equity Capital Raised (C\$)	288,282,474	3,168,455,554	3,456,738,028
Number of Financings	3	4	7	Number of Financings	11	36	47
Volume Traded	92,228,263	1,842,155,908	1,934,384,170	Volume Traded	137,628,740	1,955,201,856	2,092,830,596
Value Traded (C\$)	19,512,041	62,121,109,678	62,140,621,719	Value Traded (C\$)	289,975,406	35,203,541,034	35,493,516,440
# of Trades	13,686	7,702,804	7,716,490	# of Trades	68,398	7,565,543	7,633,941
Financial Services				Utilities & Pipelines			
Number of Issuers	51	80	131	Number of Issuers	2	23	25
QMV (C\$)	4,202,578,859	781,978,352,764	786,180,931,622	QMV (C\$)	70,888,369	222,678,415,942	222,749,304,311
New Listings	7	3	10	New Listings	-	-	-
Equity Capital Raised (C\$)	146,688,090	7,712,763,771	7,859,451,861	Equity Capital Raised (C\$)	-	15,492,885,311	15,492,885,311
Number of Financings	21	25	46	Number of Financings	-	17	17
Volume Traded	484,837,757	6,417,654,648	6,902,492,404	Volume Traded	14,565,852	2,955,299,139	2,969,864,991
Value Traded (C\$)	312,213,181	266,982,172,701	267,294,385,882	Value Traded (C\$)	14,059,170	101,261,954,450	101,276,013,619
# of Trades	108,955	25,512,608	25,621,562	# of Trades	3,753	13,813,469	13,817,222