

# The MiG Report October 2016



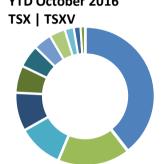
# New Listing\* Count: 190 (TSXV:87 TSX:103)

(\*Includes IPOs, CPC IPOs, QTs, RTOs, Graduates and Other)

# 95 Companies + 16 CPCs + 75 ETFs + 3 Closed-End Fund + 1 SPAC

listed on TSX Venture Exchange (87) and TSX (103) YTD October 2016

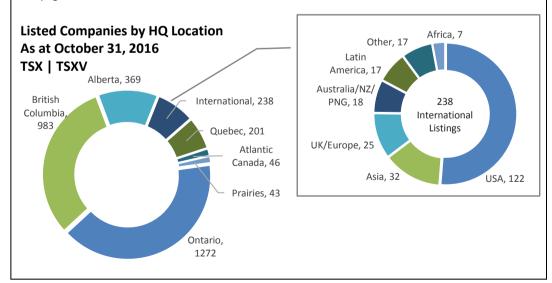
# New Listings by Sector and Location YTD October 2016



- ETFs. 75
- Mining, 32
- Technology, 20
- CPC/SPAC, 17
- Life Sciences, 12
- Financial Services, 10
- Diversified Industries, 8
- Oil & Gas, 7
- Real Estate, 4
- Closed-End Funds, 3
- Clean Tech & Renewable Energy, 2



See page 4 for more detail.



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### BY THE NUMBERS

**\$49.5 billion** Equity Capital Raised on TSXV and TSX YTD October 2016

**\$2.7 million** - Average financing size on TSXV **\$88.5 million** - Average financing size on TSX



- Utilities & Pipelines, \$10.2B
- Oil & Gas, \$9.9B
- Mining, \$8.4B
- Financial Services, \$7.1B
- Diversified Industries, \$4.9B
- Real Estate, \$3.4B
- Clean Technology & Renewable Energy, \$2.9B
- Technology, \$792M
- Life Sciences, \$690M
- ETFs, \$517M
- Closed-End Funds, \$411M
- Comm. & Media, \$344M
- CPC/SPAC, \$82M
- Forest Products & Paper, \$1M

#### Click here for our Daily Trading Reports

Each morning, a daily report is available that summarizes the previous day's trading activity on TSXV and TSX.

Listings Statistics: www.tsx.com/mig



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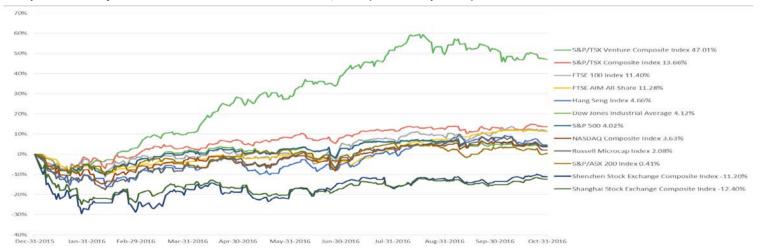
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# What's Going on Generally (YTD/as at October 31, 2016)

YTD/as at October 31, 2016	TSX Venture Exchange	TSXV Last Year	% Change	Toronto Stock Exchange	TSX Last Year	% Change	TSXV and TSX	TSXV and TSX Last Year	% Change
Number of Issuers	1,661	1,820	-9%	1,491	1,505	-1%	3,152	3,325	-5%
Quoted Market Value (QMV) (C\$ Billions)	36.4	23.8	53%	2,631.1	2,355.4	12%	2,667.5	2,379.3	12%
Going Public Activity/New Listings	87	71	23%	103	103	0%	190	174	9%
IPOs (ex CPCs/ETFs/CEFs/SPACs)	1	4	-75%	2	12	-83%	3	16	-81%
CPC/SPAC IPOs	16	13	23%	1	5	-80%	17	18	-6%
ETF & Closed-End Fund IPOs				78	73	7%	78	73	7%
Qualifying Transactions (QTs)	21	23	-9%				21	23	-9%
TSXV RTOs	12	11	9%				12	11	9%
NEX RTOs	4	1	300%				4	1	300%
Grads				13	9	44%	13	9	44%
Other	33	19	74%	9	4	125%	42	23	83%
Equity Capital Raised (C\$ Millions)	3,536.0	2,888.1	22%	46,001.3	47,531.1	-3%	49,537.3	50,419.2	-2%
Initial Public Offerings	32.9	43.4	-24%	1,415.3	4,874.1	-71%	1,448.2	4,917.5	-71%
Public Offerings/Supplementary	721.7	548.6	32%	41,586.3	34,409.8	21%	42,308.0	34,958.3	21%
Private Placements	2,781.4	2,296.1	21%	2,999.7	8,247.2	-64%	5,781.1	10,543.4	-45%
Number of Financings	1,294	1,029	26%	520	489	6%	1,814	1,518	19%
Volume Traded (Billions of Shares)	34.9	27.8	26%	80.2	68.8	16%	115.1	96.6	19%
Value Traded (C\$ Billions)	10.8	8.3	29%	1,142.6	1,100.6	4%	1,153.4	1,108.9	4%
# of Trades (Millions)	4.8	3.6	36%	191.7	177.3	8%	196.6	180.9	9%
S&P/TSX/TSXV Composite Indices	772.8	542.0	43%	14,787.3	13,529.2	9%			

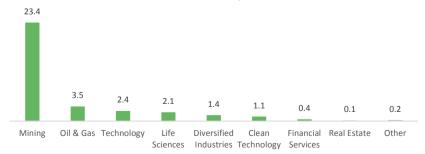
# Comparison of Major Index Performance -- YTD October 31, 2016 (Source: Capital IQ)



What's Listed on TSX and TSX Venture Exchange (As at October 31, 2016)

	T\$	SX Ventu	ire Exchange		To	oronto St	ock Exchange	9		TSX\	/ and TSX	
Sector	Listed Is	ssuers	Total QN		Listed	Issuers	Total QN		Listed	Issuers	Total QN	
			(\$ millior	,			(\$ millior	<i>'</i>			(\$ million	,
Clean Technology & Renewable Energy	65	4%	1,041	3%	39	3%	35,077	1%	104	3%	36,117	1%
Closed-End Funds					174	12%	22,143	1%	174	6%	22,143	1%
Comm. & Media	11	1%	814	2%	27	2%	176,621	7%	38	1%	177,435	7%
CPC/SPAC	40	2%	17	0.0%	6	0.4%	1,167	0.04%	46	1%	1,184	0%
Diversified Industries	110	7%	2,200	6%	193	13%	532,095	20%	303	10%	534,295	20%
ETFs					454	30%	108,264	4%	454	14%	108,264	4%
Financial Services	51	3%	3,824	11%	80	5%	746,172	28%	131	4%	749,996	28%
Forest Products & Paper	2	0.1%	91	0.3%	16	1%	21,976	1%	18	1%	22,067	1%
Life Sciences	80	5%	2,867	8%	45	3%	22,840	1%	125	4%	25,708	1%
Mining	977	59%	18,214	50%	228	15%	277,961	11%	1,205	38%	296,175	11%
Oil & Gas	159	10%	3,249	9%	81	5%	291,756	11%	240	8%	295,005	11%
Real Estate	31	2%	1,403	4%	67	4%	92,298	4%	98	3%	93,702	4%
Technology	133	8%	2,610	7%	58	4%	79,440	3%	191	6%	82,050	3%
Utilities & Pipelines	2	0.1%	67	0.2%	23	2%	223,308	8%	25	1%	223,375	8%
TOTAL	1,661	100%	36,397	100%	1,491	100%	2,631,117	100%	3,152	100%	2,667,515	100%
Region												
British Columbia	829	50%	15,320	42%	154	10%	179,099	7%	983	31%	194,419	7%
Alberta	200	12%	5,510	15%	169	11%	578,882	22%	369	12%	584,393	22%
Prairies	21	1%	936	3%	22	1%	83,902	3%	43	1%	84,837	3%
Ontario	344	21%	8,994	25%	928	62%	1,231,054	47%	1,272	40%	1,240,047	46%
Quebec	109	7%	1,844	5%	92	6%	386,280	15%	201	6%	388,124	15%
Atlantic Canada	25	2%	491	1%	21	1%	40,358	2%	46	1%	40,849	2%
International	133	8%	3,303	9%	105	7%	131,543	5%	238	8%	134,846	5%
International Breakdown:												
USA	71	53%	1,876	57%	51	49%	84,240	64%	122	51%	86,116	64%
Australia/NZ/PNG	8	6%	352	11%	10	10%	4,565	3%	18	8%	4,917	4%
Asia	23	17%	239	7%	9	9%	3,964	3%	32	13%	4,203	3%
UK/Europe	10	8%	186	6%	15	14%	10,458	8%	25	11%	10,644	8%
Africa	3	2%	273	8%	4	4%	364	0%	7	3%	636	0%
Latin America	11	8%	342	10%	6	6%	1,564	1%	17	7%	1,906	1%
Other	7	5%	35	1%	10	10%	26,389	20%	17	7%	26,424	20%
TOTAL	1,661	100%	36,397	100%	1,491	100%	2,631,117	100%	3,152	100%	2,667,515	100%

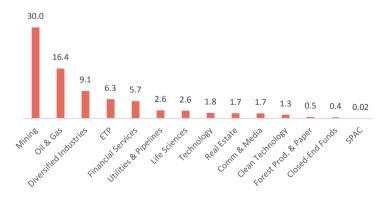
Volume Traded YTD October 31, 2016 on TSXV by Sector (Billions of Shares)



<sup>\*</sup>Other includes Comm. & Media, Forest Products, Utilities & Pipelines & CPCs.

#### Click here for our Daily Trading Reports.

Volume Traded YTD October 31, 2016 on TSX by Sector (Billions of Shares)



#### What's New on TSX and TSX Venture Exchange (YTD October 31, 2016)

2

3

17

7

75

10

8

28

7

1

13

171

Canada

YTD October-2016

Int'l

1

4

1

3

Total

2

3

17

8

75

10

12

32

7

4

20

190

Canada

4

19

18

15

54

4

7

21

2

3

11

159

1

US

3

2

4

10

New International Listings:

YTD October-2015

Int'l

Total

19

1

18

16

54

10

24

3

3

17

3

1

1

5

5

US

1

3

5

10

TSXV
Lattice Biologics Ltd., Life Sciences, Scottsdale, AZ
McorpCX, Inc., Tech, San Francisco, CA

Vaxil Bio Ltd., Life Sciences, Israel

Mainstreet Health Investments Inc., Real Estate,
Carmel. IN

Identillect Technologies Corp., Tech, San Juan Capistrano, CA

Trackx Holdings Inc., Tech, Lone Tree, CA

Zomedica Pharmaceuticals Corp., Life Sciences, Ann Arbor, MI

TSX

Helius Medical Technologies, Inc., Life Sciences, Newton, PA

Mainstreet Health Investments Inc., Real Estate, Carmel, IN

Brookfield Business Partners L.P., Real Estate, Bermuda

Waste Connections Inc., Div. Ind., The Woodlands, TX

(listed on TSXV, graduated to TSX in 2016)

Euromax Resources Ltd., Mining, UK

Mariana Resources Ltd., Mining, Australia

Neo Lithium Corp., Mining, Argentina

YDreams Global Interactive Technologies Inc., Tech, Brazil

kneat.com, inc., Tech, nology Ireland

Austral Mining Limited, Mining, Australia

Moovly Media Inc., Technology, Belgium

174 H-Source Holdings Ltd., Technology, Spokane, WA

TOLAI	1/1	10	3	130	133	10	5	1/4	source me	numgs Etu., Te	cililology, s	рокап	c, wa									
TSX Venture Exchange			Go	ing Pub	lic Activit	y			l	POs		QT	S			RTO	Os			Oth	er	
	YT	D Octo	ber-201	L6	YT	D Octo	ber-201	.5	YTD Oct	ober-2016	YTD	Octob	ber-20	)16	YTD	Octo	ber-20	016	YTD	Octo	ber- <b>20</b> 1	16
Sector	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada US	Int'l Tota	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada	US	Int'l	Total
Clean Tech & Renewable Energy	2	-	-	2	4	-	-	4		-	1			1				-	1			1
Closed-End Funds	-	-	-	-	-	-	-	-		-				-				-				-
Comm. & Media	-	-	-	-	-	-	-	-		-				-				-				-
CPC/SPAC	16	-	-	16	13	-	-	13	16	16				-				-				-
Diversified Industries	5	-	-	5	9	-	-	9		-	2			2	2			2	1			1
ETFs	-	-	-	-	-	-	-	-		-				-				-				-
Financial Services	7	-	-	7	2	1	-	3		-	4			4	1			1	2			2
Forest Products & Paper	-	-	-	-	-	-	-	-		-				-				-				-
Life Sciences	5	2	1	8	6	2	-	8		-	2	1		3	1		1	2	2	1		3
Mining	22	-	3	25	16	-	3	19		-	7		1	8	3			3	12		2	14
Oil & Gas	4	-	-	4	1	-	1	2		-	1			1	1			1	2			2
Real Estate	1	1	-	2	1	-	-	1	1	1				-		1		1				-
Technology	11	4	3	18	8	3	1	12		-	2			2	3	2	1	6	6	2	2	10
Utilities & Pipelines	-	-	-	-	-	-	-	-		-				-				-				-
Total	73	7	7	87	60	6	5	71	17 -	- 17	19	1	1	21	11	3	2	16	26	3	4	33
			_		Att A cat. A					DO.		A				011						

Toronto Stock Exchange			Go	ing Pub	lic Activi	ty				IPO	Gra	aduates			Oth	ner	
	YT	D Octo	ber-201	.6	YT	D Octo	ber-201	.5	YTD Oc	tober-2016	YTD Oc	tober-2	016	YTD	Octo	ber-20	16
Sector	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada US	S Int'l Total	Canada US	Int'l	Total	Canada	US	Int'l	Total
Clean Tech & Renewable Energy	-	-	-	-	-	-	-	-		-			-				-
Closed-End Funds	3	-	-	3	19	-	-	19	3	3			-				-
Comm. & Media	-	-	-	-	1	-	-	1		-			-				-
CPC/SPAC	1	-	-	1	5	-	-	5	1	1			-				-
Diversified Industries	2	1	-	3	6	1	-	7	1	1	1		1		1		1
ETFs	75	-	-	75	54	-	-	54	75	75			-				-
Financial Services	3	-	-	3	2	-	-	2	1	1	1		1	1			1
Forest Products & Paper	-	-	-	-	-	-	-	-		-			-				-
Life Sciences	3	1	-	4	1	1	-	2		-	2		2	1	1		2
Mining	6	-	1	7	5	-	-	5		-	5	1	6	1			1
Oil & Gas	3	-	-	3	1	-	-	1		-	1		1	2			2
Real Estate	-	1	1	2	2	-	-	2		-	1		1			1	1
Technology	2	-	-	2	3	2	-	5		-	1		1	1			1
Utilities & Pipelines	-	-	-	-	-	-	-	-		-			-				-
Total	98	3	2	103	99	4	-	103	81 -	81	11 1	. 1	13	6	2	1	9

TSXV and TSX

Closed-End Funds

**Diversified Industries** 

Forest Products & Paper

Comm. & Media

Financial Services

Life Sciences

CPC/SPAC

ETFs

Mining

Total

Oil & Gas

Real Estate

Technology

Utilities & Pipelines

Clean Tech & Renewable Energy

Sector

	TSX Ve	enture Excha	nge (C\$ Mill	ions)
Sector	IPO	PO	PP	Total
Clean Technology & Renewable Energy	-	9.2	58.2	67.4
Closed-End Funds	-	-	-	
Comm. & Media	-	-	2.5	2.5
CPC/SPAC	11.4	-	0.4	11.8
Diversified Industries	-	102.2	96.7	198.9
ETFs	-	-	-	
Financial Services	-	-	142.4	142.4
Forest Products & Paper	-	-	0.6	0.6
Life Sciences	-	109.8	57.6	167.3
Mining	-	182.6	1,869.5	2,052.1
Oil & Gas	-	82.6	93.0	175.6
Real Estate	21.5	219.3	18.5	259.3
Technology	-	16.1	442.1	458.2
Utilities & Pipelines	-	-	-	-
TOTAL	32.9	721.7	2,781.4	3,536.0

Toron	to Stock Exch	ange (C\$ Mi	llions)		1	SXV and TSX	(C\$ Millions	)
IPO	PO*	PP	Total		IPO	PO	PP	Total
-	2,282.0	565.5	2,847.5	ľ	-	2,291.2	623.6	2,914.9
181.8	229.2	-	411.0		181.8	229.2	-	411.0
-	341.4	-	341.4		-	341.4	2.5	343.9
70.0	-	-	70.0		81.4	-	0.4	81.8
508.0	4,112.8	35.3	4,656.1		508.0	4,215.0	132.0	4,855.0
506.0	10.5	-	516.5		506.0	10.5	-	516.5
149.5	6,740.5	33.7	6,923.7		149.5	6,740.5	176.1	7,066.1
			-		-	-	0.6	0.6
-	413.0	110.1	523.1		-	522.7	167.7	690.4
-	4,860.9	1,444.9	6,305.7		-	5,043.4	3,314.4	8,357.8
-	9,104.9	607.7	9,712.5		-	9,187.5	700.6	9,888.1
-	3,095.9	43.7	3,139.7		21.5	3,315.2	62.2	3,399.0
-	318.3	16.0	334.3		-	334.4	458.1	792.5
-	10,076.9	142.8	10,219.7		-	10,076.9	142.8	10,219.7
1,415.3	41,586.3	2,999.7	46,001.3		1,448.2	42,308.0	5,781.1	49,537.3

<sup>\*</sup>Includes supplementary & secondary offerings

#### Top 10 Financings for the Month of October 2016

#### **TSXV Top 10 Financings**

- 1. JDL Gold Corp., Mining \$60.5M Private Placement
- 2. Orla Mining Ltd., Mining \$50M Private Placement
- 3. Gold Standard Ventures Corp., Mining \$38.2M Private Placement
- 4. Starlight U.S. Multi-Family (No.5) Class A, Real Estate \$21.5M IPO
- 5. Manitok Energy Inc., Oil & Gas \$21.2M Public Offering
- 6. People Corporation, Diversified Industries \$20.1M Private Placement
- 7. Front Range Resources Ltd., Oil & Gas \$20M Public Offering
- 8. PharmaCan Capital Corp., Financial Services \$15M Private Placement
- 9. Alphamin Resources Corp., Mining \$11M Private Placement
- 10. Blackbird Energy Inc., Oil & Gas \$8M Private Placement

#### **TSX Top 10 Financings**

- 1. Bank of Montreal, Financial Services \$600M Public Offering
- 2. Aritzia Inc., Diversified Industries \$508M Initial Public Offering
- 3. Capital Power Corporation, Utilities & Pipelines \$200M Public Offering
- 4. Milestone Apartments Real Estate Investment Trust, Real Estate \$193M Public Offering
- 5. Just Energy Group Inc., Diversified Industries \$160M Public Offering
- 6. Pure Industrial Real Estate Trust, Real Estate \$144M Public Offering
- 7. Klondex Mines Ltd., Mining \$130M Private Placement
- 8. Middlefield Healthcare & Wellness Dividend Fund, Closed-End Funds \$115M Initial Public Offering
- 9. NuVista Energy Ltd., Oil & Gas \$104M Public Offering
- 10. Mainstreet Health Investments Inc., Real Estate \$98M Public Offering



# What's Happening by Sector (YTD October 31, 2016)

	TSX Venture	TSX	TSXV and TSX
Clean Technology & Renewable E	nergy		
Number of Issuers	65	39	104
QMV (C\$)	1,040,592,190	35,076,740,185	36,117,332,374
New Listings	2	-	2
Equity Capital Raised (C\$)	67,385,741	2,847,470,954	2,914,856,695
Number of Financings	50	19	69
Volume Traded	1,060,405,043	1,264,758,252	2,325,163,295
Value Traded (C\$)	264,768,694	11,796,328,066	12,061,096,760
# of Trades	147,617	3,109,697	3,257,314
Life Sciences			
Number of Issuers	80	45	125
QMV (C\$)	2,867,374,603	22,840,260,824	25,707,635,427
New Listings	8	4	12
Equity Capital Raised (C\$)	167,333,869	523,112,129	690,445,998
Number of Financings	55	27	82
Volume Traded	2,092,088,563	2,551,102,160	4,643,190,723
Value Traded (C\$)	1,609,217,242	19,033,044,180	20,642,261,422
# of Trades	635,911	3,983,269	4,619,179
Technology			
Number of Issuers	133	58	191
QMV (C\$)	2,610,230,366	79,439,657,802	82,049,888,167
New Listings	18	2	20
Equity Capital Raised (C\$)	458,178,226	334,291,400	792,469,626
Number of Financings	66	7	73
Volume Traded	2,397,700,893	1,802,815,875	4,200,516,768
Value Traded (C\$)	792,457,556	33,463,508,941	34,255,966,496
# of Trades	361,556	5,575,850	5,937,406

	TSX Venture	TSX	TSXV and TSX
Mining			
Number of Issuers	977	228	1,205
QMV (C\$)	18,213,544,913	277,961,285,182	296,174,830,095
New Listings	25	7	32
Equity Capital Raised (C\$)	2,052,065,986	6,305,720,725	8,357,786,711
Number of Financings	1,091	205	1,296
Volume Traded	23,377,574,123	29,986,700,994	53,364,275,117
Value Traded (C\$)	6,322,013,134	212,333,921,762	218,655,934,895
# of Trades	3,048,589	52,411,386	55,459,975
Oil and Gas			
Number of Issuers	159	81	240
QMV (C\$)	3,248,578,620	291,756,496,022	295,005,074,642
New Listings	4	3	7
Equity Capital Raised (C\$)	175,551,845	9,712,530,956	9,888,082,801
Number of Financings	81	51	132
Volume Traded	3,492,606,571	16,440,217,312	19,932,823,882
Value Traded (C\$)	680,796,081	156,356,778,015	157,037,574,096
# of Trades	251,757	36,568,891	36,820,648
Energy Services			
Number of Issuers	27	43	70
QMV (C\$)	875,132,180	27,818,090,515	28,693,222,695
New Listings	1	1	2
Equity Capital Raised (C\$)	92,361,814	1,475,924,240	1,568,286,054
Number of Financings	17	16	33
Volume Traded	508,765,631	2,131,951,547	2,640,717,177
Value Traded (C\$)	228,307,148	14,224,539,558	14,452,846,705
# of Trades	68,914	5,351,854	5,420,768

	TSX Venture	TSX
Capital Pool Companies (CPCs)		
Number of Issuers	40	
QMV (C\$)	17,183,412	
New Listings	16	
Special Purpose Acquisition Comp	anies (SPACs)	
Number of Issuers		6
QMV (C\$)		1,166,825,200
New Listings		1

	TSX
Exchange-Traded Products (ETFs)	
Number of Issuers	454
QMV (C\$)	108,263,603,162
New Listings	75
Closed-End Funds (CEFs)	
Number of Issuers	174
QMV (C\$)	22,143,276,627
New Listings	3

### **Diversified Industries Sectors**

Diversified Industries Sectors			
	TSX Venture	TSX	TSXV and TSX
Consumer & Industrial Products a	nd Services		
Number of Issuers	110	193	303
QMV (C\$)	2,200,007,930	532,094,570,476	534,294,578,406
New Listings	5	3	8
Equity Capital Raised (C\$)	198,893,269	4,656,118,456	4,855,011,725
Number of Financings	52	37	89
Volume Traded	1,409,847,749	9,059,903,018	10,469,750,766
Value Traded (C\$)	537,902,187	191,289,739,543	191,827,641,729
# of Trades	179,987	29,328,513	29,508,499
Communications & Media			
Number of Issuers	11	27	38
QMV (C\$)	814,322,924	176,620,838,007	177,435,160,931
New Listings	-	-	-
Equity Capital Raised (C\$)	2,530,650	341,377,157	343,907,807
Number of Financings	3	4	7
Volume Traded	80,892,436	1,653,680,210	1,734,572,646
Value Traded (C\$)	17,819,621	56,599,659,481	56,617,479,102
# of Trades	12,264	7,073,540	7,085,804
Financial Services			
Number of Issuers	51	80	131
QMV (C\$)	3,824,393,562	746,171,732,808	749,996,126,371
New Listings	7	3	10
Equity Capital Raised (C\$)	142,424,944	6,923,677,623	7,066,102,567
Number of Financings	19	22	41
Volume Traded	428,545,887	5,745,193,762	6,173,739,648
Value Traded (C\$)	250,768,612	238,408,547,878	238,659,316,490
# of Trades	89,612	23,084,949	23,174,560

	TSX Venture	TSX	TSXV and TSX
Forest Products & Paper			
Number of Issuers	2	16	18
QMV (C\$)	91,274,149	21,975,606,783	22,066,880,932
New Listings	-	-	-
Equity Capital Raised (C\$)	600,000	-	600,000
Number of Financings	1	-	1
Volume Traded	113,332,108	491,945,785	605,277,893
Value Traded (C\$)	48,244,522	8,138,592,674	8,186,837,195
# of Trades	21,775	1,801,274	1,823,049
Real Estate			
Number of Issuers	31	67	98
QMV (C\$)	1,403,420,549	92,298,347,224	93,701,767,774
New Listings	2	2	4
Equity Capital Raised (C\$)	259,302,474	3,139,683,704	3,398,986,178
Number of Financings	10	35	45
Volume Traded	124,629,677	1,732,683,038	1,857,312,715
Value Traded (C\$)	254,619,784	31,214,241,342	31,468,861,126
# of Trades	60,406	6,768,247	6,828,653
Utilities & Pipelines			
Number of Issuers	2	23	25
QMV (C\$)	66,502,310	223,307,998,627	223,374,500,936
New Listings	-	-	-
Equity Capital Raised (C\$)	-	10,219,722,811	10,219,722,811
Number of Financings	-	13	13
Volume Traded	11,934,087	2,645,420,271	2,657,354,358
Value Traded (C\$)	12,556,368	89,981,934,515	89,994,490,883
# of Trades	3,477	12,451,985	12,455,462